

Company	Reason for Exclusion	Country	Excluded since
ABJA Investment Co. Pte Ltd.	CWR Criteria Breached and Thermal Coal Criteria Breached	SINGAPORE	2021 Q1
Aboitiz Equity Ventures, Inc.	Thermal Coal Criteria Breached	PHILIPPINES	2020 Q4
Aboitiz Power Corp.	Thermal Coal Criteria Breached	PHILIPPINES	2021 Q1
Academy Sports & Outdoors Inc	Small Arms Criteria Breached	UNITED STATES	2021 Q4
Academy, Ltd.	Small Arms Criteria Breached	UNITED STATES	2021 Q3
Adani Electricity Mumbai Ltd.	Thermal Coal Criteria Breached	INDIA	2020 Q3
ADANI ENTERPRISES LTD	Global compact criteria breached	INDIA	2013 Q3
ADANI POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Adani Transmission Ltd	Thermal Coal Criteria Breached	INDIA	2020 Q3
ADARO ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
ADS Tactical, Inc.	Small Arms Criteria Breached	UNITED STATES	2021 Q4
Advantage Energy Ltd	Shale energy Criteria Breached	CANADA	2021 Q4
Advantage Oil & Gas Ltd.	Shale energy Criteria Breached	CANADA	2021 Q1
AEROJET ROCKETDYNE HOLDINGS, INC.	Controversial Weapons Criteria Breached	UNITED STATES	2017 Q4
AES Andes SA	Thermal Coal Criteria Breached	CHILE	2022 Q1
AES GENER SA	Thermal Coal Criteria Breached	CHILE	2018 Q4
AEV International Pte Ltd.	Thermal Coal Criteria Breached	SINGAPORE	2020 Q4
AGL ENERGY LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
AGRITRADE RESOURCES LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Air Water Inc.	Thermal Coal Criteria Breached	JAPAN	2020 Q4
Aksa Akrilik Kimya Sanayi AS	Thermal Coal Criteria Breached	TURKEY	2020 Q4
ALARKO HOLDING A.S.	Thermal Coal Criteria Breached	TURKEY	2019 Q1
Alberta Energy Co. Ltd.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Albioma SA	Thermal Coal Criteria Breached	FRANCE	2021 Q1
Alcoa Corp	Thermal Coal Criteria Breached	UNITED STATES	2022 Q1
ALLETE Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
ALLIANCE HOLDINGS GP LP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
ALLIANCE RESOURCE PARTNERS	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Alliant Energy Corp.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
ALTRIA GROUP, INC.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
ALUMINUM CORPORATION OF CHINA LTD	Thermal Coal Criteria Breached	CHINA	2019 Q2
Ameren Corp.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
AMERICAN ELECTRIC POWER	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
AMERICAN OUTDOOR BRANDS CORPORATION	Small Arms Criteria Breached	UNITED STATES	2018 Q4
AMMO Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Anhui GreatWall Military Industry Co., Ltd.	Controversial Weapons Criteria Breached (AFM)	CHINA	2020 Q1
Antero Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Apache Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ARCH COAL INC - A	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Arch Resources, Inc.	Thermal Coal Criteria Breached	UNITED STATES	2020 Q4
ARYT INDUSTRIES LTD	Controversial Weapons Criteria Breached (AFM)	ISRAEL	2013 Q2
Ascent Resources Utica Holdings LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q2
Ascent Resources Utica Holdings LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q4
ASELSAN ELEKTRONIK SANAYI VE TICARET ANONIM SIRKETI	Controversial Weapons Criteria Breached	TURKEY	2017 Q4
Asia Optical Co Inc	Small Arms Criteria Breached	TAIWAN	2022 Q2
Athabasca Oil Corp.	Oil sands Criteria Breached	CANADA	2021 Q1
ATLAS RESOURCES TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
B.A.T. INTERNATIONAL FINANCE P.L.C.	Tobacco Criteria Breached	BRITAIN	2017 Q4
BAE Systems plc	Controversial Weapons Criteria Breached	BRITAIN	2017 Q4
Baidu Inc	Global compact criteria breached	CHINA	2022 Q4
Banpu Power Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q2
BANPU PUBLIC CO LTD	Thermal Coal Criteria Breached	THAILAND	2018 Q4
BARAMULTI SUKSESSARANA TBK P	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
BAYAN RESOURCES GROUP	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
BEIJING HAOHUA ENERGY RESO-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Bharat Dynamics Ltd	CWR Criteria Breached and Global compact criteria breached	INDIA	2022 Q4
Bharat Electronics Ltd	Small Arms Criteria Breached	INDIA	2021 Q4
Birchcliff Energy Ltd	Shale energy Criteria Breached	CANADA	2021 Q1
BISICHI MINING PLC	Thermal Coal Criteria Breached	BRITAIN	2018 Q4
Black Hills Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Bonanza Creek Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Brigham Minerals, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
BRITISH AMERICAN TOBACCO (MALAYSIA) BERHAD	Tobacco Criteria Breached	MALAYSIA	2017 Q4
BRITISH AMERICAN TOBACCO HOLDINGS (THE NETHERLANDS) B.V.	Tobacco Criteria Breached	THE NETHERLANDS	2017 Q4
BRITISH AMERICAN TOBACCO P.L.C.	Tobacco Criteria Breached	BRITAIN	2017 Q4
BUKIT ASAM TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
BUMI RESOURCES TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Cabot Oil & Gas Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Cadre Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q4
Callon Petroleum Co	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Canadian Natural Resources Ltd.	Oil sands Criteria Breached	CANADA	2021 Q1
CANADIAN TIRE CORPORATION, LIMITED	Small Arms Criteria Breached	CANADA	2018 Q4
CASTLE PEAK PWR FIN CO	Thermal Coal Criteria Breached	Hong Kong	2018 Q4

CELESTIAL MILES LTD.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2019 Q3
Cenovus Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
CenterPoint Energy Inc	Thermal Coal Criteria Breached	UNITED STATES	2019 Q4
CESC LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
CEZ, a. s.	Thermal Coal Criteria Breached	CZECH	2021 Q1
Chalco Hong Kong Investment Co. Ltd.	Thermal Coal Criteria Breached	Hong Kong	2020 Q1
Chesapeake Energy Corp.	CWR Criteria Breached and Thermal Coal Criteria Breached	SINGAPORE	2021 Q1
CHINA AEROSPACE INTERNATIONAL HOLDINGS LIMITED	Controversial Weapons Criteria Breached	HONG KONG	2017 Q4
CHINA COAL ENERGY CO-H	Thermal Coal Criteria Breached	CHINA	2018 Q4
China Literature Ltd	Global compact criteria breached	CHINA	2022 Q4
CHINA NORTHERN RARE EARTH (GROUP) HIGH-TECH CO LTD	Global compact criteria breached	CHINA	2018 Q4
CHINA PETROLEUM & CHEMICAL CORP	Global compact criteria breached	CHINA	2007 Q4
CHINA POWER INTERNATIONAL	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
CHINA RESOURCES POWER HOLDIN	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
CHINA SHENHUA ENERGY CO-H	Thermal Coal Criteria Breached	CHINA	2018 Q4
CHINA SPACESAT CO LTD	Controversial Weapons Criteria Breached	CHINA	2018 Q4
CHINA STATE CONSTRUCTION FINANCE (CAYMAN) I LTD.	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2019 Q2
CHINA STATE CONSTRUCTION FINANCE (CAYMAN) II LTD.	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2019 Q2
CHINA STATE CONSTRUCTION INTERNATIONAL HOLDINGS LTD	Thermal Coal Criteria Breached	HONG KONG	2019 Q2
Ciech S.A.	Thermal Coal Criteria Breached	POLAND	2020 Q4
Cimarex Energy Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
CITIC LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Civitas Resources Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q2
Clarus Corp	Small Arms Criteria Breached	UNITED STATES	2022 Q2
CLOUD PEAK ENERGY INC	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
CLP HOLDINGS LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
CLP POWER HK FINANCING	Thermal Coal Criteria Breached	Hong Kong	2018 Q4
CMS Energy Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
CNOOC Petroleum North America ULC	Oil sands Criteria Breached	CANADA	2022 Q4
CNPC (HK) OVERSEAS CAPITAL LTD.	Global compact criteria breached	CHINA	2007 Q4
CNPC GENERAL CAPITAL LIMITED	Global compact criteria breached	CHINA	2007 Q4
CNX Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
COAL INDIA LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Colgate Energy Partners III LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Colt CZ Group SE	Small Arms Criteria Breached	CZECH	2023 Q2
COMPAGNIE FINANCIÈRE RICHEMONT SA	Small Arms Criteria Breached	SWITZERLAND	2018 Q4
Compass Diversified Holdings	Small Arms Criteria Breached	UNITED STATES	2022 Q4
Comstock Escrow Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Comstock Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Concho Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ConocoPhillips	Shale energy Criteria Breached	UNITED STATES	2021 Q1
CONSOL ENERGY INC	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Contango Oil & Gas Co	Shale energy Criteria Breached	UNITED STATES	2021 Q4
ContextLogic Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Continental Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Covey Park Energy LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Crescent Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q2
Crescent Point Energy Corp.	Shale energy Criteria Breached	CANADA	2021 Q1
Crew Energy Inc	Shale energy Criteria Breached	CANADA	2022 Q4
CrownRock, L.P.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
DATANG INTL POWER GEN CO-H	Thermal Coal Criteria Breached	CHINA	2018 Q4
DATONG COAL INDUSTRY CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Devon Energy Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Diamondback Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
DIAN SWASTATIKA SENTOSA TBK	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
DICK'S SPORTING GOODS INC	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Diversified Gas & Oil Plc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
DMCI HOLDINGS, INC.	Thermal Coal Criteria Breached	PHILIPPINES	2019 Q2
DTE ENERGY COMPANY	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
DTEK FINANCE PLC	Thermal Coal Criteria Breached	BRITAIN	2019 Q2
Ducommun Inc	Small Arms Criteria Breached	UNITED STATES	2021 Q3
Earthstone Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
EASTERN TOBACCO	Tobacco Criteria Breached	EGYPT	2018 Q3
EDP - Energias do Brasil SA	Thermal Coal Criteria Breached	BRAZIL	2021 Q1
El Sewedy Electric Co	Global compact criteria breached	EGYPT	2020 Q4
ELBIT SYSTEMS LTD.	Small Arms Criteria Breached	ISRAEL	2017 Q4
Electric Power Development Co., Ltd.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
EMPRESA ELEC GUACOLDA	Thermal Coal Criteria Breached	Chile	2018 Q4
EMPRESA ELECTRICA ANGAMO	Thermal Coal Criteria Breached	Chile	2018 Q4
EnBW Energie Baden Württemberg AG	Thermal Coal Criteria Breached	GERMANY	2021 Q1
ENBW International Finance BV	Thermal Coal Criteria Breached	NETHERLANDS	2021 Q1
Endeavor Energy Resources, LP	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ENE SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Energa SA	Thermal Coal Criteria Breached	POLAND	2021 Q1

ENERGY EARTH PCL	Thermal Coal Criteria Breached	THAILAND	2018 Q4
ENERGY TRANSFER EQUITY, L.P.	Global compact criteria breached	UNITED STATES	2017 Q3
Energy Transfer Operating LP	Global compact criteria breached	UNITED STATES	2020 Q3
Enerplus Corp.	Shale energy Criteria Breached	CANADA	2021 Q1
Eneva S.A.	Thermal Coal Criteria Breached	BRAZIL	2021 Q1
ENGIE ENERGIA CHILE SA	Thermal Coal Criteria Breached	CHILE	2018 Q4
Engro Corp. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2021 Q3
EOG Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
EP ENERGY AS	Thermal Coal Criteria Breached	Czech Republic	2018 Q4
EP Infrastructure AS	Thermal Coal Criteria Breached	CZECH Republic	2019 Q1
EQT Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ESKOM HOLDINGS SOC LTD	Thermal Coal Criteria Breached	South Africa	2018 Q4
ETERNA CAPITAL PTE LTD	Thermal Coal Criteria Breached	Singapore	2018 Q4
Evergy, Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
EXPLOITASI ENERGI INDONESIA	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Extraction Oil & Gas Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q4
EXXARO RESOURCES LTD	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
EZCORP, INC.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Fauji Fertilizer Co. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2020 Q4
FirstCash Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
FirstCash, Inc.	Small Arms Criteria Breached	UNITED STATES	2020 Q4
FIRSTENERGY CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
FITA INTERNATIONAL LTD	Thermal Coal Criteria Breached	Hong Kong	2018 Q4
FLIR Systems, Inc.	Small Arms Criteria Breached	UNITED STATES	2020 Q3
FORESIGHT ENERGY LP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
GD POWER DEVELOPMENT CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
GENERAL DYNAMICS CORPORATION	Controversial Weapons Criteria Breached	UNITED STATES	2017 Q4
GENTING BHD	Thermal Coal Criteria Breached	MALAYSIA	2018 Q4
GEO ENERGY RESOURCES LTD	Thermal Coal Criteria Breached	SINGAPORE	2018 Q4
GLOBAL BRASS AND COPPER HOLDINGS, INC.	Small Arms breached	UNITED STATES	2019 Q1
GMR INFRASTRUCTURE LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
GODFREY PHILLIPS INDIA LIMITED	Tobacco Criteria Breached	INDIA	2017 Q4
GOHL CAPITAL LTD	Thermal Coal Criteria Breached	United Kingdom	2018 Q4
GOLDEN EAGLE ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
GUANGDONG ELECTRIC POWER-B	Thermal Coal Criteria Breached	CHINA	2018 Q4
GUANGDONG INVESTMENT LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
GUANGZHOU DEVELOPMENT GRP-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
GUJARAT MINERAL DEV CORP LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Gulf Keystone Petroleum Ltd	Shale energy Criteria Breached	BRITAIN	2022 Q4
Gulfport Energy Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
HALLADOR ENERGY CO	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Hangzhou Hikvision Digital Technology Co., Ltd.	Global compact criteria breached	CHINA	2020 Q1
Hanwha Aerospace Co Ltd (formerly known as HANWHA TECHWIN CO., LTD.	Controversial Weapons Criteria Breached	SOUTH KOREA	2017 Q4
HANWHA CORP	Controversial Weapons Criteria Breached	SOUTH KOREA	2008 Q2
HARUM ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
HEG LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Henan Shenhua Coal & Power Co Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4
Hensoldt AG	Small Arms Criteria Breached	GERMANY	2021 Q4
Hess Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
HK ELECTRIC INVESTMENTS -SS	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Hokkaido Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
Hokuriku Electric Power Co.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
HONGKONG ELECTRIC FIN	Thermal Coal Criteria Breached	British Virgin Islands	2018 Q4
HUADIAN POWER INTL CORP-H	Thermal Coal Criteria Breached	CHINA	2018 Q4
HUANENG POWER INTL INC-H	Thermal Coal Criteria Breached	CHINA	2018 Q4
Hubei Energy Group Co. Ltd.	Thermal Coal Criteria breached	CHINA	2020 Q1
Husky Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
ICHOR COAL NV	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
ICL Group Ltd.	Controversial Weapons Criteria Breached	ISRAEL	2022 Q1
Idacorp Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Imperial Brands Finance Netherlands BV	Tobacco Criteria Breached	NETHERLANDS	2022 Q1
IMPERIAL BRANDS FINANCE PLC	Tobacco Criteria Breached	BRITAIN	2017 Q4
IMPERIAL BRANDS PLC	Tobacco Criteria Breached	BRITAIN	2017 Q4
Imperial Oil Ltd	Oil sands Criteria Breached	CANADA	2021 Q1
India Cements Ltd.	Thermal Coal Criteria Breached	INDIA	2021 Q1
Indian Hotels Co. Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Indigo Natural Resources LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
INDIKA ENERGY III PTE	Thermal Coal Criteria Breached	Singapore	2018 Q4
INDIKA ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
INDO ENERGY FINANCE II	Thermal Coal Criteria Breached	Netherlands	2018 Q4
INDO TAMBANGRAYA MEGAH TBK P	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Inner Mongolia Dian Tou Energy Corp Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4
Inner Mongolia Eerduosi Resources Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q4
Inner Mongolia ERDOS Resources Co Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4

Inner Mongolia Junzheng Energy & Chemical Group Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2019 Q4
INNER MONGOLIA MENGDIAN HU-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
INNER MONGOLIA PINGZHUANG -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
INNER MONGOLIA YITAI COAL-B	Thermal Coal Criteria Breached	CHINA	2018 Q4
Inner Mongolian Baotou Steel Union Co Ltd	Global compact criteria breached	CHINA	2020 Q1
INTRA ENERGY CORP LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
iQIYI Inc	Global compact criteria breached	CHINA	2022 Q4
IRPC Public Co., Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
ITC LIMITED	Tobacco Criteria Breached	INDIA	2017 Q4
ITOCHU Corp	Thermal Coal Criteria Breached	JAPAN	2022 Q4
Itochu Enex Co.,Ltd.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
JAIPRAKASH ASSOCIATES LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Jaiprakash Power Ventures Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q4
JAPAN TOBACCO INC.	Tobacco Criteria Breached	JAPAN	2017 Q4
Jindal Stainless Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q3
JINDAL STEEL & POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Jizhong Energy Resources Co Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4
JSW ENERGY LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
JT INTERNATIONAL FINANCIAL SERVICES BV	Tobacco Criteria Breached	NETHERLANDS	2019 Q2
Kelt Exploration Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
KGHM Polska Miedz SA	Thermal Coal Criteria Breached	POLAND	2021 Q1
Kimbell Royalty Partners LP	Shale energy Criteria Breached	UNITED STATES	2022 Q4
KINETIC MINES AND ENERGY LTD	Thermal Coal Criteria Breached	CHINA	2018 Q4
KOBE STEEL LTD	Thermal Coal Criteria Breached	JAPAN	2019 Q2
KOREA EAST-WEST POWER CO., LTD.	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
Korea Electric Power Corporation	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q4
Korea Midland Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q4
Korea South-East Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2020 Q4
Korea Southern Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2021 Q3
KOREA WESTERN POWER CO., LTD.	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
KSK ENERGY VENTURES LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
KT&G CORPORATION	Tobacco Criteria Breached	SOUTH KOREA	2017 Q4
KUMHO PETRO CHEMICAL LTD	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
KUZBASSKAYA TOPLIVNAYA CO	Thermal Coal Criteria Breached	RUSSIA	2018 Q4
L&T FINANCE HOLDINGS LIMITED	Controversial Weapons Criteria Breached	INDIA	2017 Q4
L&T Technology Services Ltd.	Global compact criteria breached	INDIA	2019 Q4
L3Harris Technologies, Inc.	Small Arms Criteria Breached	UNITED STATES	2020 Q3
Laredo Petroleum Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
LARSEN & TOUBRO INFOTECH LIMITED	Controversial Weapons Criteria Breached	INDIA	2017 Q4
LARSEN & TOUBRO LIMITED	Controversial Weapons Criteria Breached	INDIA	2017 Q4
LIG NEX1 CO LTD	Controversial Weapons Criteria Breached	SOUTH KOREA	2019 Q2
Lockheed Martin Corp	Global compact criteria breached	UNITED STATES	2021 Q1
LUBELSKI WEGIEL BOGDANKA SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Luenmei Quantum Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q4
Magnolia Oil & Gas Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Magnolia Oil & Gas Operating LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Malakoff Corp Bhd	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
Manila Electric Co.	Thermal Coal Criteria Breached	PHILIPPINES	2021 Q2
Marathon Oil Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Matador Resources Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Mattel, Inc.	Global compact criteria breached	UNITED STATES	2022 Q1
MCC Holding (Hong Kong) Corp. Ltd.	Global compact criteria breached	HONG KONG	2020 Q4
MDU Resources Group Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Mechel PAO	Thermal Coal Criteria breached	RUSSIA	2020 Q1
Mechel PJSC	Thermal Coal Criteria Breached	RUSSIA	2022 Q2
MEG Energy Corp.	Oil sands Criteria Breached	CANADA	2021 Q1
METALLURGICAL CORPORATION OF CHINA LTD.	Global compact criteria breached	CHINA	2019 Q3
MGE Energy Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
MindTree Limited	Global compact criteria breached	INDIA	2019 Q4
MINEJESA CAPITAL BV	Thermal Coal Criteria Breached	NETHERLANDS	2019 Q2
Mining and Metallurgical Company NORILSK NICKEL PJSC	Global compact criteria breached	RUSSIA	2020 Q4
MITRABARA ADIPERDANA TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
MMC Corp Bhd	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
MMC Finance DAC	Global compact criteria breached	IRELAND	2020 Q4
Montage Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
MTN (MAURITIUS) INVESTMENTS LTD.	Global compact criteria breached	SOUTH AFRICA	2012 Q1
MTN GROUP LTD	Global compact criteria breached	SOUTH AFRICA	2012 Q1
Mueller Industries Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Murphy Oil Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
NACCO INDUSTRIES-CL A	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
NAN NAN RESOURCES ENTERPRISE	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
National Fuel Gas Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
NEW HOPE CORP LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
New World China Land Ltd.	Thermal Coal Criteria breached	Hong Kong	2020 Q1

NEW WORLD DEVELOPMENT	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
NISOURCE INC	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
NLC INDIA LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Noble Energy, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
NORINCO INTERNATIONAL	Controversial Weapons Criteria Breached (AFM)	CHINA	2013 Q2
Northern Oil & Gas, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
NORTHROP GRUMMAN CORPORATION	Small Arms Criteria and CWR Breached	UNITED STATES	2018 Q4
NorthWestern Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
NRG Energy Inc.	Thermal Coal Criteria Breached	UNITED STATES	2023 Q1
NTPC LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
NuVista Energy Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
NVIDIA CORPORATION	Non-Compliant	UNITED STATES	2019 Q3
NWD Finance BVI Ltd.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2021 Q4
NWD MTN LTD	Thermal Coal Criteria Breached	Hong Kong	2018 Q4
NWS HOLDINGS LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Oasis Petroleum Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Occidental Petroleum Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
OCI COMPANY LTD	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
OCP S.A.	Global compact criteria breached	Morocco	2015 Q3
ODAS Elektrik Uretim ve Sanayi Ticaret AS	Thermal Coal Criteria Breached	TURKEY	2023 Q2
OIL & NATURAL GAS CORP LTD	Global compact criteria breached	INDIA	2007 Q4
OLIN CORPORATION	Small Arms Criteria Breached	UNITED STATES	2018 Q4
ONGC VIDESH LTD.	Global compact criteria breached	INDIA	2007 Q4
ONGC VIDESH VANKORNEFT PTE. LTD.	Global compact criteria breached	INDIA	2007 Q4
Origin Energy Finance Ltd	Thermal Coal Criteria Breached	AUSTRALIA	2023 Q1
Origin Energy Ltd	Thermal Coal Criteria Breached	AUSTRALIA	2023 Q1
ORLEN Capital AB	Thermal Coal Criteria Breached	SWEDEN	2021 Q1
OTTER TAIL CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Ovintiv Canada ULC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ovintiv Exploration, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ovintiv, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Parsley Energy	Shale energy Criteria Breached	UNITED STATES	2021 Q1
PDC Energy, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
PEABODY ENERGY CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Penn Virginia Escrow LLC	Shale energy Criteria Breached	UNITED STATES	2023 Q2
Permian Resources Corp. (formerly known as Centennial Resource Developr	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Permian Resources Operating LLC (formerly known as Centennial Resource P	Shale energy Criteria Breached	UNITED STATES	2021 Q4
PERUSAHAAN LISTRIK NEGAR	Thermal Coal Criteria Breached	Indonesia	2018 Q4
PETROCHINA CO LTD	Global compact criteria breached	CHINA	2007 Q4
PETROLEOS MEXICANOS	Global compact criteria breached	MEXICO	2013 Q1
Peyto Exploration & Development Corp	Shale energy Criteria Breached	CANADA	2021 Q1
PG&E CORP	Global compact criteria breached	UNITED STATES	2019 Q1
PGE SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
PGE SWEDEN AB	Thermal Coal Criteria Breached	Sweden	2018 Q4
PHA LAI THERMAL POWER JSC	Thermal Coal Criteria Breached	VIETNAM	2018 Q4
PHILIP MORRIS CR A.S.	Tobacco Criteria Breached	CZECH	2017 Q4
PHILIP MORRIS INTERNATIONAL INC.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
Pingdingshan Tianan Coal Mining Co Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4
Pioneer Natural Resources Co.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Pipestone Energy Corp	Shale energy Criteria Breached	CANADA	2022 Q4
Polski Koncern Naftowy ORLEN SA	Thermal Coal Criteria Breached	POLAND	2021 Q1
POLSKIE GORNICTWO NAFTOWE I	Thermal Coal Criteria Breached	POLAND	2018 Q4
POONGSAN CORP	Small Arms Criteria and CWR Breached	SOUTH KOREA	2007 Q4
POONGSAN HOLDINGS CORP	Small Arms Criteria and CWR Breached	SOUTH KOREA	2007 Q4
PPL CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
PT ABM Investama Tbk	Thermal Coal Criteria Breached	Indonesia	2020 Q1
PT Adaro Indonesia	Thermal Coal Criteria Breached	INDONESIA	2020 Q2
PT GUDANG GARAM TBK	Tobacco Criteria Breached	INDONESIA	2017 Q4
PT HANJAYA MANDALA SAMPOERNA TBK	Tobacco Criteria Breached	INDONESIA	2017 Q4
PT Trada Alam Minerera Tbk	Thermal Coal Criteria Breached	INDONESIA	2020 Q2
PYXUS INTERNATIONAL INC	Tobacco Criteria Breached	UNITED STATES	2019 Q2
QEP Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ramco Cements Ltd/The	Thermal Coal Criteria Breached	INDIA	2022 Q3
Range Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ranger Oil Corp	Shale energy Criteria Breached	UNITED STATES	2022 Q4
RattanIndia Enterprises Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q4
Raytheon Technologies Corp.	Small Arms Criteria Breached and Global compact criteria breached	UNITED STATES	2020 Q3
REINET INVESTMENTS S.C.A.	Tobacco Criteria Breached	LUXEMBOURG	2018 Q4
RELIANCE POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
RESOURCE ALAM INDONESIA TBK	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
RICHEMONT INTERNATIONAL HOLDING S.A.	Small Arms Criteria Breached	LUXEMBOURG	2019 Q2
Rosneft International Finance Ltd.	Shale energy Criteria Breached	IRELAND	2021 Q1
Rosneft Oil Co.	Shale energy Criteria Breached	RUSSIA	2021 Q1
SABIC Capital I BV	Global compact criteria breached	NETHERLANDS	2021 Q2

Sabic Capital II BV	Global compact criteria breached	NETHERLANDS	2021 Q1
Safran SA	Small Arms Criteria Breached	FRANCE	2020 Q4
San Miguel Corp.	Thermal Coal Criteria Breached	PHILIPPINES	2021 Q1
SandRidge Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
SARDA ENERGY & MINERALS LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Saudi Arabian Oil Co. (Aramco)	Global compact criteria breached	SAUDI ARABIA	2020 Q1
Saudi Basic Industries Corp	Global compact criteria breached	SAUDI ARABIA	2021 Q1
SDIC Power Holdings CO., LTD.	Thermal Coal Criteria Breached	CHINA	2021 Q1
SDIC XINJI ENERGY CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
SEMIRARA MINING AND POWER CO	Thermal Coal Criteria Breached	PHILIPPINES	2018 Q4
Seven Generations Energy Ltd.	Shale energy Criteria Breached	CANADA	2021 Q1
Shaanxi Coal Industry Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2021 Q1
Shandong Chenming Paper Holdings Ltd	Thermal Coal Criteria Breached	CHINA	2023 Q2
Shanghai Electric Power Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q2
SHANGHAI ELECTRIC POWER FINANCE LIMITED	Thermal Coal Criteria Breached	BRITISH VIRGIN	2019 Q2
SHANXI COKING CO LTD-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Shanxi Lu'an Environmental Energy Development Co Ltd	Thermal Coal Criteria Breached	CHINA	2021 Q1
SHANXI XISHAN COAL & ELEC-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Shenergy Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2021 Q1
SHENHUA OVERSEAS CAPITAL	Thermal Coal Criteria Breached	British Virgin Islands	2018 Q4
Shenzhen Energy Group Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2021 Q1
Shree Cement Ltd	Thermal Coal Criteria Breached	INDIA	2023 Q1
SilverBow Resources Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
SINOPEC CAPITAL (2013) LTD.	Global compact criteria breached	HONG KONG	2007 Q4
SINOPEC KANTONS HOLDINGS LTD	Global compact criteria breached	HONG KONG	2007 Q4
Sitio Royalties Corp	Shale energy Criteria Breached	UNITED STATES	2023 Q2
SM Energy Co.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
SMC Global Power Holdings Corp.	Thermal Coal Criteria Breached	PHILIPPINES	2020 Q2
Smith & Wesson Brands Inc	Small Arms Criteria Breached	UNITED STATES	2020 Q4
S-Oil Corp	Global compact criteria breached	SOUTH KOREA	2021 Q4
Southwestern Energy Co.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Sportsman's Warehouse Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2021 Q2
STURM, RUGER & COMPANY, INC.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
SUMITOMO CHEMICAL COMPANY, LIMITED	Thermal Coal Criteria Breached	JAPAN	2019 Q2
Suncor Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
Sunpower Group Ltd	Thermal Coal Criteria Breached	CHINA	2021 Q4
Surge Energy Inc	Shale energy Criteria Breached	CANADA	2022 Q4
SWEDISH MATCH AB (PUBL)	Tobacco Criteria Breached	SWEDEN	2017 Q4
TAIWAN CEMENT CORP.	Thermal Coal Criteria Breached	TAIWAN	2019 Q2
Talen Energy Supply LLC	Thermal Coal Criteria Breached	UNITED STATES	2021 Q2
Tamarack Valley Energy Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
Tata Chemicals Ltd	CWR Criteria Breached	INDIA	2023 Q1
Tata Communications Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Tata Consultancy Services Ltd.	CWR Criteria Breached and Global compact criteria breached	INDIA	2021 Q1
Tata Consumer Products Ltd	CWR Criteria Breached	INDIA	2023 Q1
Tata Elxsi Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Tata Investment Corp. Ltd.	CWR Criteria Breached and Global compact criteria breached	INDIA	2021 Q1
Tata Motors Ltd.	CWR Criteria Breached	INDIA	2021 Q1
TATA POWER CO LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
TATA POWER CO LTD/THE	Controversial Weapons Criteria Breached	INDIA	2018 Q2
Tata Steel Ltd.	CWR Criteria Breached and Thermal Coal Criteria Breached	INDIA	2021 Q1
Tata Teleservices Maharashtra Ltd	CWR Criteria Breached	INDIA	2022 Q2
TAURON POLSKA ENERGIA SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Taurus Armas SA	Small Arms Criteria Breached	BRAZIL	2022 Q4
Teck Resources Ltd	Oil sands Criteria Breached	CANADA	2021 Q1
Tecpetrol SA	Shale energy Criteria Breached	ARGENTINA	2021 Q3
Teine Energy Ltd.	Shale energy Criteria Breached	CANADA	2021 Q1
Teledyne Technologies Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q1
Tellurian Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Tenaga Nasional Bhd	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
Tencent Holdings Ltd	Global compact criteria breached	CHINA	2022 Q4
Tencent Music Entertainment Group	Global compact criteria breached	CHINA	2022 Q4
Terracom Ltd	Thermal Coal Criteria Breached	AUSTRALIA	2023 Q2
Teva Pharmaceutical Finance Co. BV	Global compact criteria breached	CURACAO	2021 Q1
Teva Pharmaceutical Finance Co. LLC	Global compact criteria breached	UNITED STATES	2021 Q1
Teva Pharmaceutical Finance Netherlands III B.V.	Global compact criteria breached	NETHERLANDS	2021 Q1
Teva Pharmaceutical Industries Limited	Global compact criteria breached	ISRAEL	2021 Q1
The Hub Power Co. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2021 Q1
The Israel Electric Corp. Ltd.	Thermal Coal Criteria Breached	ISRAEL	2021 Q1
The Okinawa Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
Thungela Resources Ltd	Thermal Coal Criteria Breached	SOUTH AFRICA	2022 Q1
Titan Co. Ltd.	CWR Criteria Breached	INDIA	2021 Q1
TNB Global Ventures Capital Bhd.	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
TOBA BARA SEJAHTRA TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4

TOKYO ELECTRIC POWER CO INC	Global compact criteria breached	JAPAN	2011 Q3
TOP ENERGY CO LTD SHANXI-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
TPI Polene Power Public Co., Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
TPI Polene Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
Trent Ltd	Controversial Weapons Criteria Breached	INDIA	2021 Q2
TURNING POINT BRANDS	Tobacco Criteria Breached	UNITED STATES	2018 Q4
Ube Industries, Ltd.	Thermal Coal Criteria Breached	JAPAN	2019 Q4
Uniper SE	Thermal Coal Criteria Breached	GERMANY	2021 Q1
UNITECH LIMITED	Global compact criteria breached	INDIA	2017 Q3
UNIVERSAL COAL PLC - CDI	Thermal Coal Criteria Breached	BRITAIN	2018 Q4
UNIVERSAL CORP	Tobacco Criteria Breached	UNITED STATES	2019 Q2
VALE OVERSEAS LIMITED	Global compact criteria breached	CAYMAN ISLANDS	2019 Q2
VALE S.A.	Global compact criteria breached	BRAZIL	2019 Q2
VECTOR GROUP LTD.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
VECTREN CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
VEDANTA LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
VEDANTA RESOURCES PLC	Thermal Coal Criteria Breached	INDIA	2018 Q4
Vine Energy Holdings LLC	Shale energy Criteria Breached	UNITED STATES	2022 Q2
Viper Energy Partners LP	Shale energy Criteria Breached	UNITED STATES	2021 Q1
VISTA OUTDOOR INC	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Voltas Ltd.	CWR Criteria Breached	INDIA	2021 Q1
VST Industries Ltd	Tobacco Criteria Breached	INDIA	2023 Q2
WALMART INC.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
WEC Energy Group, Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Weibo Corp	Global compact criteria breached	CHINA	2022 Q4
WELLS FARGO & COMPANY	Global compact criteria breached	UNITED STATES	2017 Q1
WESCOAL HOLDINGS LTD	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
WESTMORELAND COAL CO	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
WHA Corp. Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q3
WHA Utilities & Power Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
Whitecap Resources Inc.	Shale energy Criteria Breached	CANADA	2021 Q1
WHITEHAVEN COAL LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
Whiting Petroleum Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q4
WINTIME ENERGY CO LTD-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Wuhan Guide Infrared Co Ltd	Small Arms Criteria Breached	CHINA	2023 Q1
WW Grainger Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q1
Xcel Energy Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Xinjiang Zhongtai Chemical Co Ltd	Global compact criteria breached	CHINA	2022 Q4
XXL ASA	Small Arms Criteria Breached	NORWAY	2018 Q4
YANCOAL AUSTRALIA LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
YANCOAL INTERNATIONAL RESOURCES DEVELOPMENT CO., LTD.	Thermal Coal Criteria Breached	HONG KONG	2019 Q2
YANGQUAN COAL INDUSTRY GRP-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
YANZHOU COAL MINING CO LTD	Thermal Coal Criteria Breached	CHINA	2019 Q2
Yixin Group Ltd	Global compact criteria breached	CHINA	2022 Q4
ZESPOL ELEKTROWNI PATNOW ADA	Thermal Coal Criteria Breached	POLAND	2018 Q4
ZHEJIANG ZHENENG ELECTRIC-A	Thermal Coal Criteria Breached	CHINA	2018 Q4

Government Bonds from the following Countries

Afghanistan, Belarus, Central African Republic, China, Eritrea, Iran, Mali, North Korea, Libya, Myanmar, Russia, Somalia, South Sudan, Sudan, Syria, Venezuela, Zimbabwe

Source: Sustainalytics