

| Company | Reason for Exclusion | Country | Excluded since |
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| ABJA Investment Co. Pte Ltd. | CWR Criteria Breached and Thermal Coal Criteria Breached | SINGAPORE | 2021 Q1 |
| Aboitiz Equity Ventures, Inc. | Thermal Coal Criteria Breached | PHILIPPINES | 2020 Q4 |
| Aboitiz Power Corp. | Thermal Coal Criteria Breached | PHILIPPINES | 2021 Q1 |
| Abu Dhabi Commercial Bank | Country criteria breached | UAE | 2023 Q3 |
| Abu Dhabi National Energy Company | Country criteria breached | UAE | 2023 Q3 |
| Abu Dhabi National Oil Co for Distribution PJSC | Country criteria breached | UAE | 2023 Q3 |
| Abu Dhabi Ports Co. PJSC | Country criteria breached | UAE | 2023 Q3 |
| Academy Sports & Outdoors Inc | Small Arms Criteria Breached | UNITED STATES | 2021 Q4 |
| Academy, Ltd. | Small Arms Criteria Breached | UNITED STATES | 2021 Q3 |
| Adani Electricity Mumbai Ltd. | Thermal Coal Criteria Breached | INDIA | 2020 Q3 |
| ADANI POWER LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Adani Transmission Ltd | Thermal Coal Criteria Breached | INDIA | 2020 Q3 |
| ADARO ENERGY TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Advantage Energy Ltd | Shale energy Criteria Breached | CANADA | 2021 Q4 |
| Advantage Oil & Gas Ltd. | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| AECC Aviation Power Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| AEROJET ROCKETDYNE HOLDINGS, INC. | Controversial Weapons Criteria Breached | UNITED STATES | 2017 Q4 |
| AES Andes SA | Thermal Coal Criteria Breached | CHILE | 2022 Q1 |
| AES GENER SA | Thermal Coal Criteria Breached | CHILE | 2018 Q4 |
| AEV International Pte Ltd. | Thermal Coal Criteria Breached | SINGAPORE | 2020 Q4 |
| Africa Finance Corp. | Country criteria breached | SNAT | 2023 Q3 |
| AGL ENERGY LTD | Thermal Coal Criteria Breached | AUSTRALIA | 2018 Q4 |
| AGRITRADE RESOURCES LTD | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| Air China Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Aksa Akrilik Kimya Sanayi AS | Thermal Coal Criteria Breached | TURKEY | 2020 Q4 |
| ALARKO HOLDING A.S. | Thermal Coal Criteria Breached | TURKEY | 2019 Q1 |
| Alberta Energy Co. Ltd. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Albioma SA | Thermal Coal Criteria Breached | FRANCE | 2021 Q1 |
| Alcoa Corp | Thermal Coal Criteria Breached | UNITED STATES | 2022 Q1 |
| ALLETE Inc | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| ALLIANCE HOLDINGS GP LP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Alliance Resource Operating Partners LP | Thermal Coal Criteria Breached | UNITED STATES | 2024 Q2 |
| ALLIANCE RESOURCE PARTNERS | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Alliant Energy Corp. | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| ALTRIA GROUP, INC. | Tobacco Criteria Breached | UNITED STATES | 2017 Q4 |
| ALUMINUM CORPORATION OF CHINA LTD | Thermal Coal Criteria Breached | CHINA | 2019 Q2 |
| Ameren Corp. | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| AMERICAN ELECTRIC POWER | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| AMERICAN OUTDOOR BRANDS CORPORATION | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| Amipeace Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| AMMO Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q2 |
| Aneka Tambang Tbk | Country criteria breached | INDONESIA | 2023 Q3 |
| Anhui GreatWall Military Industry Co., Ltd. | Controversial Weapons Criteria Breached (AFM) | CHINA | 2020 Q1 |
| Anhui Gujing Distillery Co., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| Antero Resources Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Apache Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Arabian Internet & Communications Services Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| ARCH COAL INC - A | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Arch Resources, Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2020 Q4 |
| ARYT INDUSTRIES LTD | Controversial Weapons Criteria Breached (AFM) | ISRAEL | 2013 Q2 |
| Ascent Resources Utica Holdings LLC | Shale energy Criteria Breached | UNITED STATES | 2021 Q2 |
| ASELSAN ELEKTRONIK SANAYI VE TICARET ANONIM SIRKETI | Controversial Weapons Criteria Breached & Country criteria breached | TURKEY | 2017 Q4 |
| Asia Optical Co Inc | Small Arms Criteria Breached | TAIWAN | 2022 Q2 |
| Athabasca Oil Corp. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| ATLAS RESOURCES TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| AVIC International Finance & Investment Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| AviChina Industry & Technology Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Avicopter Plc | Country criteria breached | CHINA | 2023 Q4 |
| B.A.T. INTERNATIONAL FINANCE P.L.C. | Tobacco Criteria Breached | BRITAIN | 2017 Q4 |
| BAE Systems plc | Controversial Weapons Criteria Breached | BRITAIN | 2017 Q4 |
| Baidu Inc | Global compact criteria breached | CHINA | 2022 Q4 |
| Bank Mandiri Persero Tbk PT | Country criteria breached | INDONESIA | 2023 Q3 |
| Bank Negara Indonesia Persero Tbk PT | Country criteria breached | INDONESIA | 2023 Q3 |
| Bank of Baroda | Country criteria breached | INDIA | 2023 Q3 |
| Bank of Baroda London Branch | Country criteria breached | INDIA | 2023 Q4 |
| Bank of China (Dubai) Branch | Country criteria breached | CHINA | 2023 Q4 |
| Bank of China (Hong Kong) Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| Bank of China Ltd. | Country criteria breached | CHINA | 2023 Q3 |
| Bank of China Ltd. (Beijing Branch) | Country criteria breached | CHINA | 2023 Q4 |
| BANK OF CHINA Ltd. (Frankfurt Branch) | Country criteria breached | CHINA | 2023 Q3 |
| Bank of China Ltd. (Hong Kong Branch) | Country criteria breached | CHINA | 2023 Q3 |
| Bank of China Ltd. (Johannesburg Branch) | Country criteria breached | CHINA | 2023 Q4 |
| Bank of China Ltd. (London Branch) | Country criteria breached | CHINA | 2023 Q4 |

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| Bank of China Ltd. (Macau Branch) | Country criteria breached | CHINA | 2023 Q3 |
| Bank of China Ltd. (Singapore Branch) | Country criteria breached | CHINA | 2023 Q3 |
| Bank of China Ltd. (Sydney Branch) | Country criteria breached | CHINA | 2023 Q4 |
| Bank of China Ltd. /Luxembourg Branch/ | Country criteria breached | CHINA | 2023 Q3 |
| Bank Rakyat Indonesia Persero Tbk PT | Country criteria breached | INDONESIA | 2023 Q3 |
| Banpu Power Public Co. Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q2 |
| BANPU PUBLIC CO LTD | Thermal Coal Criteria Breached | THAILAND | 2018 Q4 |
| Baoshan Iron & Steel Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| BARAMULTI SUKSESSARANA TBK P | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| BAT Netherlands Finance BV | Tobacco Criteria Breached | THE NETHERLANDS | 2017 Q4 |
| BAYAN RESOURCES GROUP | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| BBMG Corp | Country criteria breached | CHINA | 2023 Q3 |
| Beijing Capital International Airport Co., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| Beijing Enterprises Holdings Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| Beijing Gas Singapore Capital Corp. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| BEIJING HAOHUA ENERGY RESO-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Beijing State-owned Capital Operation & Management Center Invt | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Beijing Tiantan Biological Products Corp. Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Beijing TongRenTang Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Beijing Yanjing Brewery Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Bharat Dynamics Ltd | CWR Criteria Breached and Global compact criteria breached | INDIA | 2022 Q4 |
| Bharat Electronics Ltd | Small Arms Criteria Breached & Country criteria breached | INDIA | 2021 Q4 |
| Bharat Petroleum Corp. Ltd. | Country criteria breached | INDIA | 2023 Q3 |
| Birchcliff Energy Ltd | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| BISICHI MINING PLC | Thermal Coal Criteria Breached | BRITAIN | 2018 Q4 |
| Black Hills Corp | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| BOC Aviation (USA) Corp. | Country Criteria Breached | UNITED STATES | 2024 Q2 |
| BOC Aviation Ltd. | Country Criteria Breached | SINGAPORE | 2024 Q2 |
| BOC Hong Kong Holdings Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| Bonanza Creek Energy Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| BPRL International Singapore Pte Ltd. | Country criteria breached | SINGAPORE | 2023 Q3 |
| Brigham Minerals, Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Bright Food Singapore Holdings Pte Ltd. | Country criteria breached | SINGAPORE | 2023 Q3 |
| BRITISH AMERICAN TOBACCO (MALAYSIA) BERHAD | Tobacco Criteria Breached | MALAYSIA | 2017 Q4 |
| BRITISH AMERICAN TOBACCO HOLDINGS (THE NETHERLANDS) B.V. | Tobacco Criteria Breached | THE NETHERLANDS | 2017 Q4 |
| BRITISH AMERICAN TOBACCO P.L.C. | Tobacco Criteria Breached | BRITAIN | 2017 Q4 |
| BUKIT ASAM TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| BUMI RESOURCES TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Cabot Oil & Gas Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Cadre Holdings Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q4 |
| Callon Petroleum Co | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| Çan2 Termik AS | Thermal Coal Criteria Breached | TURKEY | 2023 Q4 |
| Canadian Natural Resources Ltd. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| CANADIAN TIRE CORPORATION, LIMITED | Small Arms Criteria Breached | CANADA | 2018 Q4 |
| CASTLE PEAK PWR FIN CO | Thermal Coal Criteria Breached | Hong Kong | 2018 Q4 |
| CCBL (Cayman) 1 Corp. Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| CCCI Treasure Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| CDBL Funding 1 | Country criteria breached | CAYMAN ISLANDS | 2023 Q4 |
| CDBL Funding 2 | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| CELESTIAL MILES LTD. | Thermal Coal Criteria Breached | BRITISH VIRGIN | 2019 Q3 |
| Enovus Energy Inc. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| CenterPoint Energy Inc | Thermal Coal Criteria Breached | UNITED STATES | 2019 Q4 |
| Central Plaza Development Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| CESC LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| CEZ, a. s. | Thermal Coal Criteria Breached | CZECH | 2021 Q1 |
| CGN Power Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| CGNPC International Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| Chalco Hong Kong Investment Co. Ltd. | Thermal Coal Criteria breached | Hong Kong | 2020 Q1 |
| Charming Light Investments Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Chesapeake Energy Corp. | CWR Criteria Breached and Thermal Coal Criteria Breached | SINGAPORE | 2021 Q1 |
| CHINA AEROSPACE INTERNATIONAL HOLDINGS LIMITED | Controversial Weapons Criteria Breached | HONG KONG | 2017 Q4 |
| China Cinda (2020) I Management Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China Cinda Asset Management Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Cinda Finance (2014) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| China Cinda Finance (2015) I Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China Cinda Finance (2017) I Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China CITIC Bank Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Citic Bank Corp. Ltd. (London Branch) | Country criteria breached | CHINA | 2023 Q4 |
| China CITIC Bank International Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| CHINA COAL ENERGY CO-H | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2018 Q4 |
| China Communications Services Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Construction Bank (Europe) SA | Country criteria breached | LUXEMBOURG | 2023 Q3 |
| China Construction Bank Corp. | Country criteria breached | CHINA | 2023 Q3 |
| China Construction Bank Corp. (Hong Kong) | Country criteria breached | CHINA | 2023 Q3 |

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| China Construction Bank Corp. (London Branch) | Country criteria breached | CHINA | 2023 Q3 |
| China Construction Bank Corp. (Macau Branch) | Country criteria breached | CHINA | 2023 Q3 |
| China Construction Bank Corp. (Sydney) | Country criteria breached | CHINA | 2023 Q4 |
| China Development Bank Financial Leasing Co. Ltd. | Country criteria breached | CHINA | 2023 Q3 |
| China Eastern Airlines Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Energy Engineering Corp. Ltd. | Thermal Coal Criteria Breached | CHINA | 2023 Q4 |
| China Film Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| China Galaxy Securities Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Great Wall International Holdings III Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China Great Wall International Holdings IV Limited | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| China Great Wall International Holdings V Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| China Great Wall International Holdings VI Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China Great Wall Securities Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| China International Capital Corp. Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| China Life Insurance Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Literature Ltd | Global compact criteria breached | CHINA | 2022 Q4 |
| China Longyuan Power Group Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Meheco Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Merchants Energy Shipping Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Merchants Securities Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Merchants Shekou Industrial Zone Holdings Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China National Chemical Engineering Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China National Nuclear Power Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| CHINA NORTHERN RARE EARTH (GROUP) HIGH-TECH CO LTD | Global compact criteria breached | CHINA | 2018 Q4 |
| China Oilfield Services Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| China Overseas Finance (Cayman) III Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| China Overseas Finance (Cayman) V Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q4 |
| China Overseas Finance (Cayman) VI Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| China Overseas Finance (Cayman) VII Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| China Overseas Finance (Cayman) VIII Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| China Overseas Land & Investment Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| China Overseas Property Holdings Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| CHINA PETROLEUM & CHEMICAL CORP | Global compact criteria breached & Country criteria breached | CHINA | 2007 Q4 |
| CHINA POWER INTERNATIONAL | Thermal Coal Criteria Breached & Country criteria breached | HONG KONG | 2018 Q4 |
| China Railway Group Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Railway Signal & Communication Corp. Ltd. | Country criteria breached | CHINA | 2024 Q1 |
| China Railway Xunjie Co. Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| China Resources Beer Holdings Co Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| China Resources Cement Holdings Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| China Resources Gas Group Ltd | Country criteria breached | HONG KONG | 2023 Q3 |
| China Resources Land Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| China Resources Microelectronics Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Resources Mixc Lifestyle Services Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Resources Pharmaceutical Group Ltd | Country criteria breached | CHINA | 2023 Q3 |
| CHINA RESOURCES POWER HOLDIN | Thermal Coal Criteria Breached & Country criteria breached | HONG KONG | 2018 Q4 |
| China Resources Sanjiu Medical & Pharmaceutical Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| CHINA SHENHUA ENERGY CO-H | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2018 Q4 |
| China Shenhua Overseas Capital Co., Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| China Southern Airlines Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| CHINA SPACESAT CO LTD | Controversial Weapons Criteria Breached | CHINA | 2018 Q4 |
| China State Construction Engineering Corp Ltd | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2023 Q3 |
| CHINA STATE CONSTRUCTION FINANCE (CAYMAN) I LTD. | Thermal Coal Criteria Breached | CAYMAN ISLANDS | 2019 Q2 |
| CHINA STATE CONSTRUCTION FINANCE (CAYMAN) II LTD. | Thermal Coal Criteria Breached | CAYMAN ISLANDS | 2019 Q2 |
| China State Construction Finance (Cayman) III Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q4 |
| CHINA STATE CONSTRUCTION INTERNATIONAL HOLDINGS LTD | Thermal Coal Criteria Breached & Country criteria breached | HONG KONG | 2019 Q2 |
| China Taiping Insurance Holdings Co., Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| China Three Gorges Renewables Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China Tourism Group Duty Free Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| China XD Electric Co., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| China Yangtze Power Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Chong Hing Bank Limited | Country criteria breached | HONG KONG | 2023 Q4 |
| Chord Energy Corp. (formerly known as Oasis Petroleum Inc.) | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| Ciech S.A. | Thermal Coal Criteria Breached | POLAND | 2020 Q4 |
| Cimarex Energy Co | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| CITGO Holding, Inc. | Country criteria breached | UNITED STATES | 2023 Q3 |
| CITGO Petroleum Corp. | Country criteria breached | UNITED STATES | 2023 Q3 |
| CITIC LTD | Thermal Coal Criteria Breached & Country criteria breached | HONG KONG | 2018 Q4 |
| Citic Telecom International Finance Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| Civitas Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2022 Q2 |
| Clarus Corp | Small Arms Criteria Breached | UNITED STATES | 2022 Q2 |
| CLOUD PEAK ENERGY INC | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| CLP HOLDINGS LTD | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| CLP POWER HK FINANCING | Thermal Coal Criteria Breached | Hong Kong | 2018 Q4 |
| CMS Energy Corp | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |

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| CNAC (HK) Finbridge Co. Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| CNOOC Finance (2014) ULC | Country criteria breached | CANADA | 2023 Q4 |
| CNOOC Finance (2003) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| CNOOC Finance (2011) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| CNOOC Finance (2012) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| CNOOC Finance (2013) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| Cnooc Finance (2015) Australia Pty Ltd. | Country criteria breached | AUSTRALIA | 2023 Q4 |
| CNOOC Finance (2015) U.S.A. LLC | Country criteria breached | UNITED STATES | 2023 Q4 |
| CNOOC Petroleum North America ULC | Country criteria breached | CANADA | 2023 Q4 |
| CNPC (HK) OVERSEAS CAPITAL LTD. | Global compact criteria breached | CHINA | 2007 Q4 |
| CNPC Capital Co. Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| CNPC GENERAL CAPITAL LIMITED | Global compact criteria breached | CHINA | 2023 Q4 |
| CNX Resources Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| COAL INDIA LTD | Thermal Coal Criteria Breached & Country criteria breached | INDIA | 2018 Q4 |
| Coastal Emerald Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Colgate Energy Partners III LLC | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| Colt CZ Group SE | Small Arms Criteria Breached | CZECH | 2023 Q2 |
| COMPAGNIE FINANCIÈRE RICHEMONT SA | Small Arms Criteria Breached | SWITZERLAND | 2018 Q4 |
| Compass Diversified Holdings | Small Arms Criteria Breached | UNITED STATES | 2022 Q4 |
| Comstock Escrow Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Comstock Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| Concho Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| ConocoPhillips | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| CONSOL ENERGY INC | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Container Corp Of India Ltd | Country criteria breached | INDIA | 2023 Q3 |
| Contango Oil & Gas Co | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| ContextLogic Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q2 |
| Continental Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| COSCO SHIPPING Development Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| COSCO SHIPPING Energy Transportation Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| COSCO SHIPPING Energy Transportation Co., Ltd. Class H | Country criteria breached | CHINA | 2023 Q3 |
| COSL Singapore Capital Ltd. | Country Criteria Breached | SINGAPORE | 2024 Q2 |
| Covey Park Energy LLC | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| CPFL Energia SA | Country criteria breached | BRAZIL | 2023 Q3 |
| CRCC Chengan Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| CRCC Hean Ltd. | Country criteria breached | HONG KONG | 2023 Q4 |
| Crescent Energy Finance LLC | Thermal Coal Criteria Breached | UNITED STATES | 2024 Q1 |
| Crescent Energy Inc | Shale energy Criteria Breached | UNITED STATES | 2022 Q2 |
| Crescent Point Energy Corp. | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| Crew Energy Inc | Shale energy Criteria Breached | CANADA | 2022 Q4 |
| CrownRock, L.P. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| CSC Financial Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| CSCEC Finance (Cayman) II Ltd. | Thermal Coal Criteria Breached & Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| CSCIF Asia Ltd. | Country Criteria Breached | BRITISH VIRGIN | 2024 Q2 |
| CSSC Capital 2015 Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| DAIKIN INDUSTRIES Ltd. | Controversial Weapons Criteria Breached | JAPAN | 2023 Q4 |
| Daqin Railway Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| DATANG INTL POWER GEN CO-H | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| DATONG COAL INDUSTRY CO -A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Devon Energy Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Diamondback Energy Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| DIAN SWASTATIKA SENTOSA TBK | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| DICK'S SPORTING GOODS INC | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| Diversified Gas & Oil Plc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| DMCI HOLDINGS, INC. | Thermal Coal Criteria Breached | PHILIPPINES | 2019 Q2 |
| Dongfang Electric Corp. Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Dongfeng Motor (Hong Kong) International Co. Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| Dongfeng Motor Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Dongxing Securities Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| DP World Ltd. | Country criteria breached | UAE | 2023 Q3 |
| Drax Finco Plc | Thermal Coal Criteria Breached | BRITAIN | 2023 Q4 |
| Drax Group Plc | Thermal Coal Criteria Breached | BRITAIN | 2023 Q4 |
| DTE ENERGY COMPANY | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| DTEK FINANCE PLC | Thermal Coal Criteria Breached | BRITAIN | 2019 Q2 |
| Earthstone Energy Holdings LLC | Shale energy Criteria Breached | UNITED STATES | 2024 Q2 |
| Earthstone Energy Inc | Shale energy Criteria Breached | UNITED STATES | 2022 Q4 |
| Eastern & Southern African Trade & Development Bank | Country criteria breached | SNAT | 2023 Q3 |
| EASTERN TOBACCO | Tobacco Criteria Breached | EGYPT | 2018 Q3 |
| EDP - Energias do Brasil SA | Thermal Coal Criteria Breached | BRAZIL | 2021 Q1 |
| El Sukuk Co. Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| El Sewedy Electric Co | Global compact criteria breached | EGYPT | 2020 Q4 |
| Electric Power Development Co., Ltd. | Thermal Coal Criteria Breached | JAPAN | 2021 Q1 |
| Elm Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| Emirates NBD Bank PJSC | Country criteria breached | UAE | 2023 Q3 |

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| Emirates SembCorp Water & Power Co. PJSC | Country criteria breached | UAE | 2023 Q4 |
| Emirates Telecommunications Group Co. PJSC | Country criteria breached | UAE | 2023 Q3 |
| EMPRESA ELEC GUACOLDA | Thermal Coal Criteria Breached | Chile | 2018 Q4 |
| EMPRESA ELECTRICA ANGAMO | Thermal Coal Criteria Breached | Chile | 2018 Q4 |
| EnBW Energie Baden Württemberg AG | Thermal Coal Criteria Breached | GERMANY | 2021 Q1 |
| ENBW International Finance BV | Thermal Coal Criteria Breached | NETHERLANDS | 2021 Q1 |
| Endeavor Energy Resources, LP | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| ENEAS SA | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| Energa SA | Thermal Coal Criteria Breached | POLAND | 2021 Q1 |
| ENERGY EARTH PCL | Thermal Coal Criteria Breached | THAILAND | 2018 Q4 |
| ENERGY TRANSFER EQUITY, L.P. | Thermal Coal Criteria Breached | UNITED STATES | 2017 Q3 |
| Energy Transfer Operating LP | Global compact criteria breached | UNITED STATES | 2020 Q3 |
| Enerplus Corp. | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| ENGIE ENERGIA CHILE SA | Thermal Coal Criteria Breached | CHILE | 2018 Q4 |
| Engro Corp. Ltd. | Thermal Coal Criteria Breached | PAKISTAN | 2021 Q3 |
| EOG Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| EP ENERGY AS | Thermal Coal Criteria Breached | Czech Republic | 2018 Q4 |
| EP Infrastructure AS | Thermal Coal Criteria Breached | CZECH Republic | 2019 Q1 |
| EPH Financing International as | Thermal Coal Criteria Breached | CZECH | 2024 Q2 |
| EQT Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| EQUATE Petrochemical BV | Country criteria breached | NETHERLANDS | 2023 Q4 |
| ESKOM HOLDINGS SOC LTD | Thermal Coal Criteria Breached | South Africa | 2018 Q4 |
| ETERNA CAPITAL PTE LTD | Thermal Coal Criteria Breached | Singapore | 2018 Q4 |
| Everdisplay Optronics (Shanghai) Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Every, Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| EXPLOITASI ENERGI INDONESIA | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Extraction Oil & Gas Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| EXXARO RESOURCES LTD | Thermal Coal Criteria Breached | SOUTH AFRICA | 2018 Q4 |
| EZCORP, INC. | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| Fauji Fertilizer Co. Ltd. | Thermal Coal Criteria Breached | PAKISTAN | 2020 Q4 |
| FAW Jiefang Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Fibabanka AS | Country criteria breached | TURKEY | 2023 Q4 |
| FirstCash Holdings Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q2 |
| FirstCash, Inc. | Small Arms Criteria Breached | UNITED STATES | 2020 Q4 |
| FIRSTENERGY CORP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| FITA INTERNATIONAL LTD | Thermal Coal Criteria Breached | Hong Kong | 2018 Q4 |
| FLIR Systems, Inc. | Small Arms Criteria Breached | UNITED STATES | 2020 Q3 |
| FORESIGHT ENERGY LP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| GAIL India Ltd | Country criteria breached | INDIA | 2023 Q3 |
| GD POWER DEVELOPMENT CO -A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| GENERAL DYNAMICS CORPORATION | Controversial Weapons Criteria Breached | UNITED STATES | 2017 Q4 |
| GENTING BHD | Thermal Coal Criteria Breached | MALAYSIA | 2018 Q4 |
| GEO ENERGY RESOURCES LTD | Thermal Coal Criteria Breached | SINGAPORE | 2018 Q4 |
| GLOBAL BRASS AND COPPER HOLDINGS, INC. | Small Arms breached | UNITED STATES | 2019 Q1 |
| GODFREY PHILLIPS INDIA LIMITED | Tobacco Criteria Breached | INDIA | 2017 Q4 |
| GOHL CAPITAL LTD | Thermal Coal Criteria Breached | United Kingdom | 2018 Q4 |
| GOLDEN EAGLE ENERGY TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Granite Ridge Resources, Inc. | Shale energy Criteria Breached | UNITED STATES | 2024 Q1 |
| Greenfire Resources Ltd. | Oil sands Criteria Breached | CANADA | 2024 Q2 |
| GRG Banking Equipment Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| GUANGDONG ELECTRIC POWER-B | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| GUANGDONG INVESTMENT LTD | Thermal Coal Criteria Breached & Country criteria breached | HONG KONG | 2018 Q4 |
| Guanghui Energy Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2023 Q4 |
| Guangzhou Automobile Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Guangzhou Baiyun International Airport Company Limited Class A | Country criteria breached | CHINA | 2023 Q3 |
| Guangzhou Baiyunshan Pharmaceutical Holdings Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| GUANGZHOU DEVELOPMENT GRP-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Guangzhou Yuexiu Capital Holdings Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| GUJARAT MINERAL DEV CORP LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Gulf Keystone Petroleum Ltd | Shale energy Criteria Breached | BRITAIN | 2022 Q4 |
| Gulfport Energy Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Guojing Capital (BVI) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| HALLADOR ENERGY CO | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Hangzhou Hikvision Digital Technology Co., Ltd. | Global compact criteria breached | CHINA | 2020 Q1 |
| Hangzhou Oxygen Plant Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Haohua Chemical Science & Technology Corp. Ltd. Class A | Country criteria breached | CHINA | 2023 Q3 |
| HARUM ENERGY TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| HEG LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Heilongjiang Agriculture Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Henan Shenhuo Coal & Power Co Ltd | Thermal Coal Criteria Breached | CHINA | 2022 Q4 |
| Hess Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Hesteel Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| HighPeak Energy, Inc. | Shale energy Criteria Breached | UNITED STATES | 2024 Q2 |
| Hindustan Aeronautics Limited | Country criteria breached | INDIA | 2023 Q3 |

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| Hindustan Petroleum Corp. Ltd. | Country criteria breached | INDIA | 2023 Q3 |
| HK ELECTRIC INVESTMENTS -SS | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| Hokkaido Electric Power Co., Inc. | Thermal Coal Criteria Breached | JAPAN | 2021 Q1 |
| Hokuriku Electric Power Co. | Thermal Coal Criteria Breached | JAPAN | 2021 Q1 |
| HONGKONG ELECTRIC FIN | Thermal Coal Criteria Breached | British Virgin Islands | 2018 Q4 |
| HUADIAN POWER INTL CORP-H | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2018 Q4 |
| HuaiBei Mining Holdings Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| HUANENG POWER INTL INC-H | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Huarong Finance 2017 Co. Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Huarong Finance 2019 Co. Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Huarong Finance II Co. Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Huayu Automotive Systems Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Hubei Energy Group Co. Ltd. | Thermal Coal Criteria breached | CHINA | 2020 Q1 |
| Huizhou Desay SV Automotive Co., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| Husky Energy Inc. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| HUYA, Inc. | Global compact criteria breached | CHINA | 2023 Q4 |
| ICHOR COAL NV | Thermal Coal Criteria Breached | SOUTH AFRICA | 2018 Q4 |
| ICL Group Ltd. | Controversial Weapons Criteria Breached | ISRAEL | 2022 Q1 |
| Idacorp Inc | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| Imperial Brands Finance Netherlands BV | Tobacco Criteria Breached | NETHERLANDS | 2022 Q1 |
| IMPERIAL BRANDS FINANCE PLC | Tobacco Criteria Breached | BRITAIN | 2017 Q4 |
| IMPERIAL BRANDS PLC | Tobacco Criteria Breached | BRITAIN | 2017 Q4 |
| Imperial Oil Ltd | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| India Cements Ltd. | Thermal Coal Criteria Breached | INDIA | 2021 Q1 |
| India Hotels Co. Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| Indian Oil Corp Ltd | Country criteria breached | INDIA | 2023 Q3 |
| Indian Railway Catering & Tourism Corp Ltd | Country criteria breached | INDIA | 2023 Q3 |
| Indian Railway Finance Corp. Ltd. | Country criteria breached | INDIA | 2023 Q3 |
| Indigo Natural Resources LLC | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| INDIKA ENERGY III PTE | Thermal Coal Criteria Breached | Singapore | 2018 Q4 |
| INDIKA ENERGY TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| INDO ENERGY FINANCE II | Thermal Coal Criteria Breached | Netherlands | 2018 Q4 |
| INDO TAMBANGRAYA MEGAH TBK P | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Industries Qatar QSC | Country criteria breached | QATAR | 2023 Q3 |
| Inner Mongolia Dian Tou Energy Corp Ltd | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2022 Q4 |
| Inner Mongolia Eerduosi Resources Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2020 Q4 |
| Inner Mongolia ERDOS Resources Co Ltd | Thermal Coal Criteria Breached | CHINA | 2022 Q4 |
| Inner Mongolia Junzheng Energy & Chemical Group Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2019 Q4 |
| INNER MONGOLIA MENGDIAN HU-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| INNER MONGOLIA YITAI COAL-B | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Inner Mongolian Baotou Steel Union Co Ltd | Global compact criteria breached & Country criteria breached | CHINA | 2020 Q1 |
| International Bank of the Republic of Azerbaijan | Country criteria breached | AZERBAIJAN | 2023 Q4 |
| iQIYI Inc | Global compact criteria breached | CHINA | 2022 Q4 |
| IRPC Public Co., Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q1 |
| ITC LIMITED | Tobacco Criteria Breached | INDIA | 2017 Q4 |
| ITOCHU Corp | Thermal Coal Criteria Breached | JAPAN | 2022 Q4 |
| Itochu Enex Co.,Ltd. | Thermal Coal Criteria Breached | JAPAN | 2021 Q1 |
| Jaiprakash Power Ventures Ltd | Thermal Coal Criteria Breached | INDIA | 2022 Q4 |
| JAPAN TOBACCO INC. | Tobacco Criteria Breached | JAPAN | 2017 Q4 |
| Jiangsu Expressway Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Jiangsu Phoenix Publishing & Media Corp., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| Jindal Stainless Ltd | Thermal Coal Criteria Breached | INDIA | 2022 Q3 |
| JINDAL STEEL & POWER LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Jinduicheng Molybdenum Co., Ltd. Class A | Country criteria breached | CHINA | 2023 Q3 |
| Jizhong Energy Resources Co Ltd | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2022 Q4 |
| Joy Treasure Assets Holdings, Inc. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| JSW ENERGY LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| JT INTERNATIONAL FINANCIAL SERVICES BV | Tobacco Criteria Breached | NETHERLANDS | 2019 Q2 |
| KazMunayGas NC JSC | Country criteria breached | KAZAKHSTAN | 2023 Q3 |
| Kelt Exploration Ltd | Shale energy Criteria Breached | CANADA | 2022 Q2 |
| KGHM Polska Miedz SA | Thermal Coal Criteria Breached | POLAND | 2021 Q1 |
| Kimbell Royalty Partners LP | Shale energy Criteria Breached | UNITED STATES | 2022 Q4 |
| KINETIC MINES AND ENERGY LTD | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| KKR & Co., Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2023 Q4 |
| KKR Group Finance Co. V LLC | Thermal Coal Criteria Breached | UNITED STATES | 2023 Q4 |
| KOBE STEEL LTD | Thermal Coal Criteria Breached | JAPAN | 2019 Q2 |
| KOREA EAST-WEST POWER CO., LTD. | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q2 |
| Korea Electric Power Corporation | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q4 |
| Korea Midland Power Co., Ltd. | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q4 |
| Korea South-East Power Co., Ltd. | Thermal Coal Criteria Breached | SOUTH KOREA | 2020 Q4 |
| Korea Southern Power Co., Ltd. | Thermal Coal Criteria Breached | SOUTH KOREA | 2021 Q3 |
| KOREA WESTERN POWER CO., LTD. | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q2 |
| KT&G CORPORATION | Tobacco Criteria Breached | SOUTH KOREA | 2017 Q4 |
| KUMHO PETRO CHEMICAL LTD | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q2 |

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| KunLun Energy Co. Ltd. | Country criteria breached | BERMUDA | 2023 Q3 |
| KUZBASSKAYA TOPLIVNAYA CO | Thermal Coal Criteria Breached | RUSSIA | 2018 Q4 |
| Kweichow Moutai Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| L&T FINANCE HOLDINGS LIMITED | Controversial Weapons Criteria Breached | INDIA | 2017 Q4 |
| L&T Technology Services Ltd. | Global compact criteria breached | INDIA | 2019 Q4 |
| L3Harris Technologies, Inc. | Small Arms Criteria Breached | UNITED STATES | 2020 Q3 |
| LARSEN & TOUBRO INFOTECH LIMITED | Controversial Weapons Criteria Breached | INDIA | 2017 Q4 |
| LARSEN & TOUBRO LIMITED | Controversial Weapons Criteria Breached | INDIA | 2017 Q4 |
| LIG NEX1 CO LTD | Controversial Weapons Criteria Breached | SOUTH KOREA | 2019 Q2 |
| Lockheed Martin Corp | Global compact criteria breached | UNITED STATES | 2021 Q1 |
| Logicor Financing SARL | Country criteria breached | LUXEMBOURG | 2023 Q3 |
| LUBELSKI WEGIEL BOGDANKA SA | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| Lucid Group Inc | Country criteria breached | UNITED STATES | 2023 Q3 |
| Luenmei Quantum Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2020 Q4 |
| Luso International Banking Ltd. | Country criteria breached | MACAU | 2023 Q4 |
| Luzhou Laojiao Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Magnolia Oil & Gas Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Magnolia Oil & Gas Operating LLC | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Malakoff Corp Bhd | Thermal Coal Criteria Breached | MALAYSIA | 2021 Q1 |
| Mango Excellent Media Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Manila Electric Co. | Thermal Coal Criteria Breached | PHILIPPINES | 2021 Q2 |
| Marathon Oil Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Matador Resources Co | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Mattel, Inc. | Global compact criteria breached | UNITED STATES | 2022 Q1 |
| MCC Holding (Hong Kong) Corp. Ltd. | Global compact criteria breached | HONG KONG | 2020 Q4 |
| MDU Resources Group Inc | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| Mechel PAO | Thermal Coal Criteria breached | RUSSIA | 2020 Q1 |
| Mechel PJSC | Thermal Coal Criteria Breached | RUSSIA | 2022 Q2 |
| MEG Energy Corp. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| Mega Advance Investments Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| MEGlobal Canada ULC | Country criteria breached | CANADA | 2023 Q4 |
| Mesaieed Petrochemical Holding Co | Country criteria breached | QATAR | 2023 Q3 |
| METALLURGICAL CORPORATION OF CHINA LTD. | Global compact criteria breached & Country criteria breached | CHINA | 2019 Q3 |
| MGE Energy Inc | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| MindTree Limited | Global compact criteria breached | INDIA | 2019 Q4 |
| MINEJESA CAPITAL BV | Thermal Coal Criteria Breached | NETHERLANDS | 2019 Q2 |
| Mining and Metallurgical Company NORILSK NICKEL PJSC | Global compact criteria breached | RUSSIA | 2020 Q4 |
| MISC Bhd | Country criteria breached | MALAYSIA | 2023 Q3 |
| MITRABARA ADIPERDANA TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| MMC Corp Bhd | Thermal Coal Criteria Breached | MALAYSIA | 2021 Q1 |
| MMC Finance DAC | Global compact criteria breached | IRELAND | 2020 Q4 |
| Montage Resources Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| MTR Corp. Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| MTR Corporation (C.I.) Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Mueller Industries Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q2 |
| NACCO INDUSTRIES-CL A | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| NAN NAN RESOURCES ENTERPRISE | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| Nanyang Commercial Bank Ltd. | Country criteria breached | HONG KONG | 2023 Q3 |
| NARI Technology Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| National Fuel Gas Co | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Nava Ltd. | Thermal Coal Criteria Breached | INDIA | 2024 Q1 |
| NEW HOPE CORP LTD | Thermal Coal Criteria Breached | AUSTRALIA | 2018 Q4 |
| New Wave Group AB | Small Arms Criteria Breached | SWEDEN | 2023 Q4 |
| New World China Land Ltd. | Thermal Coal Criteria breached | Hong Kong | 2020 Q1 |
| NEW WORLD DEVELOPMENT | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| NGD Holdings BV | Thermal Coal Criteria Breached | NETHERLANDS | 2023 Q4 |
| NISOURCE INC | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| NLC INDIA LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| NORINCO INTERNATIONAL | Controversial Weapons Criteria Breached (AFM) | CHINA | 2013 Q2 |
| Northern Oil & Gas, Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| NORTHROP GRUMMAN CORPORATION | Small Arms Criteria and CWR Breached | UNITED STATES | 2018 Q4 |
| NorthWestern Corp | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| NRG Energy Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2023 Q1 |
| NTPC LTD | Thermal Coal Criteria Breached & Country criteria breached | INDIA | 2018 Q4 |
| NuVista Energy Ltd | Shale energy Criteria Breached | CANADA | 2022 Q2 |
| NWD Finance BVI Ltd. | Thermal Coal Criteria Breached | BRITISH VIRGIN | 2021 Q4 |
| NWD MTN LTD | Thermal Coal Criteria Breached | Hong Kong | 2018 Q4 |
| NWS HOLDINGS LTD | Thermal Coal Criteria Breached | HONG KONG | 2018 Q4 |
| Occidental Petroleum Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| OCI COMPANY LTD | Thermal Coal Criteria Breached | SOUTH KOREA | 2019 Q2 |
| OCP S.A. | Global compact criteria breached | Morocco | 2015 Q3 |
| ODAS Elektrik Uretim ve Sanayi Ticaret AS | Thermal Coal Criteria Breached | TURKEY | 2023 Q2 |
| Offshore Oil Engineering Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| OIL & NATURAL GAS CORP LTD | Global compact criteria breached & Country criteria breached | INDIA | 2007 Q4 |

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| Oil and Natural Gas Corporation Limited | Country criteria breached | INDIA | 2023 Q4 |
| Oil India International Pte Ltd. | Country criteria breached | SINGAPORE | 2023 Q3 |
| Oil India Ltd. | Country criteria breached | INDIA | 2023 Q3 |
| OLIN CORPORATION | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| ONGC VIDESH LTD. | Global compact criteria breached | INDIA | 2007 Q4 |
| ONGC VIDESH VANKORNEFT PTE. LTD. | Global compact criteria breached | INDIA | 2007 Q4 |
| Ooredoo International Finance Ltd. | Country criteria breached | BERMUDA | 2023 Q3 |
| Ooredoo QPSC | Country criteria breached | QATAR | 2023 Q3 |
| Origin Energy Finance Ltd | Thermal Coal Criteria Breached | AUSTRALIA | 2023 Q1 |
| Origin Energy Ltd | Thermal Coal Criteria Breached | AUSTRALIA | 2023 Q1 |
| ORLEN Capital AB | Thermal Coal Criteria Breached | SWEDEN | 2021 Q1 |
| OTTER TAIL CORP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| Ovintiv Canada ULC | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Ovintiv Exploration, Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Ovintiv, Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Oztel Holdings SPC Ltd. | Country criteria breached | UAE | 2023 Q3 |
| Pangang Group Vanadium Titanium & Resources Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Parsley Energy | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| PDC Energy, Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| PEABODY ENERGY CORP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| People.cn Co., Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| People's Insurance Co Group of China Ltd/The | Country criteria breached | CHINA | 2023 Q3 |
| Permian Resources Corp. (formerly known as Centennial Resource Developm | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| Permian Resources Operating LLC (formerly known as Centennial Resource P | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| PERUSAHAAN LISTRIK NEGAR | Thermal Coal Criteria Breached & Country criteria breached | Indonesia | 2018 Q4 |
| PETROCHINA CO LTD | Global compact criteria breached & Country criteria breached | CHINA | 2007 Q4 |
| PETROLEOS MEXICANOS | Global compact criteria breached | MEXICO | 2013 Q1 |
| Petronas Chemicals Group Bhd | Country criteria breached | MALAYSIA | 2023 Q3 |
| Petronas Dagangan Bhd | Country criteria breached | MALAYSIA | 2023 Q3 |
| Petronas Gas Bhd | Country criteria breached | MALAYSIA | 2023 Q3 |
| Peyto Exploration & Development Corp | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| PGE SA | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| PGE SWEDEN AB | Thermal Coal Criteria Breached | Sweden | 2018 Q4 |
| PHA LAI THERMAL POWER JSC | Thermal Coal Criteria Breached | VIETNAM | 2018 Q4 |
| PHILIP MORRIS CR A.S. | Tobacco Criteria Breached | CZECH | 2017 Q4 |
| PHILIP MORRIS INTERNATIONAL INC. | Tobacco Criteria Breached | UNITED STATES | 2017 Q4 |
| PICC Property & Casualty Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Pioneer Natural Resources Co. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Polski Koncern Naftowy ORLEN SA | Thermal Coal Criteria Breached | POLAND | 2021 Q1 |
| POLSKIE GORNICTWO NAFTOWE I | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| POONGSAN CORP | Small Arms Criteria and CWR Breached | SOUTH KOREA | 2007 Q4 |
| POONGSAN HOLDINGS CORP | Small Arms Criteria and CWR Breached | SOUTH KOREA | 2007 Q4 |
| Postal Savings Bank of China Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Power Construction Corp of China Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Power Finance Corp. Ltd. | Country criteria breached | INDIA | 2023 Q3 |
| Power Grid Corp of India Ltd | Country criteria breached | INDIA | 2023 Q3 |
| PPL CORP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| PT ABM Investama Tbk | Thermal Coal Criteria breached | Indonesia | 2020 Q1 |
| PT Adaro Indonesia | Thermal Coal Criteria Breached | INDONESIA | 2020 Q2 |
| PT Bank Mandiri (Persero) Tbk | Country criteria breached | INDONESIA | 2023 Q3 |
| PT Bank Negara Indonesia (Persero) Tbk | Country criteria breached | INDONESIA | 2023 Q3 |
| PT Bank Tabungan Negara (Persero) Tbk | Country criteria breached | INDONESIA | 2023 Q4 |
| PT GUDANG GARAM TBK | Tobacco Criteria Breached | INDONESIA | 2017 Q4 |
| PT HANJAYA MANDALA SAMPOERNA TBK | Tobacco Criteria Breached | INDONESIA | 2017 Q4 |
| PT Pertamina Geothermal Energy Tbk | Country criteria breached | INDONESIA | 2023 Q4 |
| PT Perusahaan Gas Negara Tbk | Country criteria breached | INDONESIA | 2023 Q4 |
| PT Petrindo Jaya Kreasi Tbk | Thermal Coal Criteria Breached | INDONESIA | 2024 Q2 |
| PT Trada Alam Minera Tbk | Thermal Coal Criteria Breached | INDONESIA | 2020 Q1 |
| Public Power Corp. SA | Thermal Coal Criteria Breached | GREECE | 2024 Q1 |
| PYXUS INTERNATIONAL INC | Tobacco Criteria Breached | UNITED STATES | 2019 Q2 |
| Qatar National Bank QPSC | Country criteria breached | QATAR | 2023 Q3 |
| QEP Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| QNB Finance Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| QNB Finansbank AS | Country criteria breached | TURKEY | 2023 Q3 |
| Qtel International Finance Ltd. | Country criteria breached | BERMUDA | 2023 Q3 |
| Ramco Cements Ltd/The | Thermal Coal Criteria Breached | INDIA | 2022 Q3 |
| Range Resources Corp. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Ras Laffan Liquefied Natural Gas Co. Ltd. | Country criteria breached | QATAR | 2023 Q3 |
| RattanIndia Enterprises Ltd | Thermal Coal Criteria Breached | INDIA | 2022 Q4 |
| Raytheon Technologies Corp. | Small Arms Criteria Breached and Global compact criteria breached | UNITED STATES | 2020 Q3 |
| REC Limited | Country criteria breached | INDIA | 2023 Q3 |
| REINET INVESTMENTS S.C.A. | Tobacco Criteria Breached | LUXEMBOURG | 2018 Q4 |
| RELIANCE POWER LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Resorts World Las Vegas LLC | Thermal Coal Criteria Breached | UNITED STATES | 2024 Q2 |

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| RESOURCE ALAM INDONESIA TBK | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| Rheinmetall AG | Controversial Weapons Criteria Breached | GERMANY | 2023 Q4 |
| RICHEMONT INTERNATIONAL HOLDING S.A. | Small Arms Criteria Breached | LUXEMBOURG | 2019 Q2 |
| Riley Exploration Permian, Inc. | Shale energy Criteria Breached | UNITED STATES | 2023 Q4 |
| Rosneft International Finance Ltd. | Shale energy Criteria Breached | IRELAND | 2021 Q1 |
| Rosneft Oil Co. | Shale energy Criteria Breached | RUSSIA | 2021 Q1 |
| Ruwais Power Co. PJSC | Country criteria breached | UAE | 2023 Q3 |
| SA Global Sukuk Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q4 |
| SABIC Agri-Nutrients Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| SABIC Capital I BV | Global compact criteria breached | NETHERLANDS | 2021 Q2 |
| Sabic Capital II BV | Global compact criteria breached | NETHERLANDS | 2021 Q1 |
| SAIC Motor Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| San Miguel Corp. | Thermal Coal Criteria Breached | PHILIPPINES | 2021 Q1 |
| SandRidge Energy Inc | Shale energy Criteria Breached | UNITED STATES | 2022 Q4 |
| SARDA ENERGY & MINERALS LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Saudi Arabian Mining Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| Saudi Arabian Oil Co. (Aramco) | Global compact criteria breached & Country criteria breached | SAUDI ARABIA | 2020 Q1 |
| Saudi Aramco Base Oil Company - Luberef | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| Saudi Basic Industries Corp | Global compact criteria breached & Country criteria breached | SAUDI ARABIA | 2021 Q1 |
| Saudi Electricity Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| Saudi Electricity Global Sukuk Co. - 2 | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Saudi Electricity Global Sukuk Co. - 3 | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Saudi Electricity Global Sukuk Co. - 4 | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Saudi Electricity Global Sukuk Co. - 5 | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Saudi Electricity Sukuk Programme Co. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Saudi Tadawul Group Holding Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| Saudi Telecom Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| SBI Cards & Payment Services Ltd | Country criteria breached | INDIA | 2023 Q3 |
| SBI Life Insurance Co Ltd | Country criteria breached | INDIA | 2023 Q3 |
| Scandinavian Tobacco Group A/S | Tobacco Criteria Breached | DENMARK | 2017 Q3 |
| SDIC Power Holdings CO., LTD. | Thermal Coal Criteria Breached | CHINA | 2021 Q1 |
| SDIC XINJI ENERGY CO -A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Semen Indonesia Persero Tbk PT | Country criteria breached | INDONESIA | 2023 Q3 |
| SEMIRARA MINING AND POWER CO | Thermal Coal Criteria Breached | PHILIPPINES | 2018 Q4 |
| Seven Generations Energy Ltd. | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| Shaanxi Coal Industry Co., Ltd. | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2021 Q1 |
| Shan Xi Hua Yang Group New Energy Co. Ltd. ('YANGQUAN COAL INDUSTRY | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2018 Q4 |
| Shandong Chenming Paper Holdings Ltd | Thermal Coal Criteria Breached | CHINA | 2023 Q2 |
| Shandong Gold Mining Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Shanghai Baosight Software Co., Ltd. | Country criteria breached | CHINA | 2024 Q2 |
| Shanghai Electric Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Shanghai Electric Power Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2020 Q2 |
| SHANGHAI ELECTRIC POWER FINANCE LIMITED | Thermal Coal Criteria Breached | BRITISH VIRGIN | 2019 Q2 |
| Shanghai Lingang Holdings Corp Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Shanghai Lujiazui Finance & Trade Zone Development Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Shanxi Coal International Energy Group Co., Ltd. Class A | Country criteria breached | CHINA | 2023 Q3 |
| SHANXI COKING CO LTD-A | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2018 Q4 |
| Shanxi Lu'an Environmental Energy Development Co Ltd | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2021 Q1 |
| Shanxi Taigang Stainless Steel Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Shanxi Xinghuaacun Fen Wine Factory Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| SHANXI XISHAN COAL & ELEC-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Shenergy Co., Ltd. | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2021 Q1 |
| SHENHUA OVERSEAS CAPITAL | Thermal Coal Criteria Breached | British Virgin Islands | 2018 Q4 |
| Shennan Circuits Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Shenzhen Energy Group Co., Ltd. | Thermal Coal Criteria Breached | CHINA | 2021 Q1 |
| Shree Cement Ltd | Thermal Coal Criteria Breached | INDIA | 2023 Q1 |
| Sichuan Chuantou Energy Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Sichuan New Energy Power Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Sichuan Road and Bridge Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| SilverBow Resources Inc | Shale energy Criteria Breached | UNITED STATES | 2022 Q4 |
| Sime Darby Plantation Berhad | Country criteria breached | MALAYSIA | 2023 Q4 |
| Sinochem Offshore Capital Co. Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| SINOPEC CAPITAL (2013) LTD. | Global compact criteria breached | HONG KONG | 2007 Q4 |
| SINOPEC Engineering (Group) Co., Ltd. | Global Compact Criteria Breached | CHINA | 2024 Q2 |
| SINOPEC KANTONS HOLDINGS LTD | Global compact criteria breached | HONG KONG | 2007 Q4 |
| Sinopec Shanghai Petrochemical Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Sinotruk Hong Kong Ltd. | Country criteria breached | CHINA | 2023 Q4 |
| Sitio Royalties Corp | Shale energy Criteria Breached | UNITED STATES | 2023 Q2 |
| SM Energy Co. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| SMC Global Power Holdings Corp. | Thermal Coal Criteria Breached | PHILIPPINES | 2020 Q2 |
| Smith & Wesson Brands Inc | Small Arms Criteria Breached | UNITED STATES | 2020 Q4 |
| S-Oil Corp | Global compact criteria breached & Country criteria breached | SOUTH KOREA | 2021 Q4 |
| Southwest Securities Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Southwestern Energy Co. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |

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| Sportsman's Warehouse Holdings Inc | Small Arms Criteria Breached | UNITED STATES | 2021 Q2 |
| State Bank of India | Country criteria breached | INDIA | 2023 Q3 |
| State Bank of India (London Branch) | Country criteria breached | INDIA | 2023 Q3 |
| State Elite Global Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| State Grid Overseas Investment BVI Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| STC Sukuk Co. Ltd. | Country Criteria Breached | SAUDI ARABIA | 2024 Q2 |
| Strathcona Resources Ltd. (Old) | Shale energy Criteria Breached | CANADA | 2024 Q2 |
| STURM, RUGER & COMPANY, INC. | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| SUMITOMO CHEMICAL COMPANY, LIMITED | Thermal Coal Criteria Breached | JAPAN | 2019 Q2 |
| Sumitomo Corp. | Thermal Coal Criteria Breached | JAPAN | 2024 Q1 |
| Suncor Energy Inc. | Oil sands Criteria Breached | CANADA | 2021 Q1 |
| Sunpower Group Ltd | Thermal Coal Criteria Breached | CHINA | 2021 Q4 |
| Surge Energy Inc | Shale energy Criteria Breached | CANADA | 2022 Q4 |
| SWEDISH MATCH AB (PUBL) | Tobacco Criteria Breached | SWEDEN | 2017 Q4 |
| Sweihaan PV Power Co. | Country criteria breached | UAE | 2023 Q3 |
| Syngenta Finance NV | Country criteria breached | NETHERLANDS | 2023 Q3 |
| TAIWAN CEMENT CORP. | Thermal Coal Criteria Breached | TAIWAN | 2019 Q2 |
| Talen Energy Supply LLC | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q2 |
| Talent Yield (Euro) Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q3 |
| Talent Yield International Ltd. | Country criteria breached | BRITISH VIRGIN | 2023 Q4 |
| Tamarack Valley Energy Ltd | Shale energy Criteria Breached | CANADA | 2022 Q2 |
| Tangshan Jidong Cement Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Tata Chemicals Ltd | CWR Criteria Breached | INDIA | 2023 Q1 |
| Tata Communications Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| Tata Consultancy Services Ltd. | CWR Criteria Breached and Global compact criteria breached | INDIA | 2021 Q1 |
| Tata Consumer Products Ltd | CWR Criteria Breached | INDIA | 2023 Q1 |
| Tata Elxsi Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| Tata Investment Corp. Ltd. | CWR Criteria Breached and Global compact criteria breached | INDIA | 2021 Q1 |
| Tata Motors Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| TATA POWER CO LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| TATA POWER CO LTD/THE | Controversial Weapons Criteria Breached | INDIA | 2018 Q2 |
| Tata Steel Ltd. | CWR Criteria Breached and Thermal Coal Criteria Breached | INDIA | 2021 Q1 |
| Tata Teleservices Maharashtra Ltd | CWR Criteria Breached | INDIA | 2022 Q2 |
| TAURON POLSKA ENERGIA SA | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| Taurus Armas SA | Small Arms Criteria Breached | BRAZIL | 2022 Q4 |
| Tecpetrol SA | Shale energy Criteria Breached | ARGENTINA | 2021 Q3 |
| Teine Energy Ltd. | Shale energy Criteria Breached | CANADA | 2021 Q1 |
| Telecommunications Services of Trinidad & Tobago Ltd. | Country criteria breached | TRINIDAD AND TO | 2023 Q4 |
| Teledyne Technologies Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q1 |
| Telkom Indonesia Persero Tbk PT | Country criteria breached | INDONESIA | 2023 Q3 |
| Tellurian Inc. | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Tenaga Nasional Bhd | Thermal Coal Criteria Breached | MALAYSIA | 2021 Q1 |
| Tencent Holdings Ltd | Global compact criteria breached | CHINA | 2022 Q4 |
| Tencent Music Entertainment Group | Global compact criteria breached | CHINA | 2022 Q4 |
| Terracom Ltd | Thermal Coal Criteria Breached | AUSTRALIA | 2023 Q2 |
| The Chugoku Electric Power Co., Inc. | Thermal Coal Criteria Breached | JAPAN | 2024 Q2 |
| The Hub Power Co. Ltd. | Thermal Coal Criteria Breached | PAKISTAN | 2021 Q1 |
| The Israel Electric Corp. Ltd. | Thermal Coal Criteria Breached | ISRAEL | 2021 Q1 |
| The Okinawa Electric Power Co., Inc. | Thermal Coal Criteria Breached | JAPAN | 2021 Q1 |
| Three Gorges Finance II (Cayman Islands) Ltd. | Country criteria breached | CAYMAN ISLANDS | 2023 Q3 |
| Thungela Resources Ltd | Thermal Coal Criteria Breached | SOUTH AFRICA | 2022 Q1 |
| Titan Co. Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| TNB Global Ventures Capital Bhd. | Thermal Coal Criteria Breached | MALAYSIA | 2021 Q1 |
| TOBA BARA SEJAHTRA TBK PT | Thermal Coal Criteria Breached | INDONESIA | 2018 Q4 |
| TOKYO ELECTRIC POWER CO INC | Global compact criteria breached | JAPAN | 2011 Q3 |
| TOP ENERGY CO LTD SHANXI-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Tourmaline Oil Corp | Shale energy Criteria Breached | CANADA | 2023 Q3 |
| TPI Polene Power Public Co., Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q1 |
| TPI Polene Public Co. Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q1 |
| Trent Ltd | Controversial Weapons Criteria Breached | INDIA | 2021 Q2 |
| Türk Telekomunikasyon A.S. | Country criteria breached | TURKEY | 2023 Q4 |
| Türk Telekomünikasyon AS | Country criteria breached | TURKEY | 2023 Q3 |
| Türkiye Vakıflar Bankası TAO | Country criteria breached | TURKEY | 2023 Q3 |
| Türkiye Vakıflar Bankası Turk Anonim Ortakligi | Country criteria breached | TURKEY | 2024 Q1 |
| TURNING POINT BRANDS | Tobacco Criteria Breached | UNITED STATES | 2018 Q4 |
| Ube Industries, Ltd. | Thermal Coal Criteria Breached | JAPAN | 2019 Q4 |
| Uniper SE | Thermal Coal Criteria Breached | GERMANY | 2021 Q1 |
| UNITECH LIMITED | Global compact criteria breached | INDIA | 2017 Q3 |
| UNIVERSAL COAL PLC - CDI | Thermal Coal Criteria Breached | BRITAIN | 2018 Q4 |
| UNIVERSAL CORP | Tobacco Criteria Breached | UNITED STATES | 2019 Q2 |
| VALE OVERSEAS LIMITED | Global compact criteria breached | CAYMAN ISLANDS | 2019 Q2 |
| VALE S.A. | Global compact criteria breached | BRAZIL | 2019 Q2 |
| VECTOR GROUP LTD. | Tobacco Criteria Breached | UNITED STATES | 2017 Q4 |
| VECTREN CORP | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |

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| VEDANTA LTD | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| VEDANTA RESOURCES PLC | Thermal Coal Criteria Breached | INDIA | 2018 Q4 |
| Vine Energy Holdings LLC | Shale energy Criteria Breached | UNITED STATES | 2022 Q2 |
| Viper Energy Partners LP | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| VISTA OUTDOOR INC | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| Vital Energy (formerly known as Laredo Petroleum Inc) | Shale energy Criteria Breached | UNITED STATES | 2021 Q1 |
| Volta Ltd. | CWR Criteria Breached | INDIA | 2021 Q1 |
| VST Industries Ltd | Tobacco Criteria Breached | INDIA | 2023 Q2 |
| WALMART INC. | Small Arms Criteria Breached | UNITED STATES | 2018 Q4 |
| WEC Energy Group, Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| Weibo Corp | Global compact criteria breached | CHINA | 2022 Q4 |
| WELLS FARGO & COMPANY | Global compact criteria breached | UNITED STATES | 2017 Q1 |
| WESCOAL HOLDINGS LTD | Thermal Coal Criteria Breached | SOUTH AFRICA | 2018 Q4 |
| WESTMORELAND COAL CO | Thermal Coal Criteria Breached | UNITED STATES | 2018 Q4 |
| WHA Corp. Public Co. Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q3 |
| WHA Utilities & Power Public Co. Ltd. | Thermal Coal Criteria Breached | THAILAND | 2021 Q1 |
| WHITEHAVEN COAL LTD | Thermal Coal Criteria Breached | AUSTRALIA | 2018 Q4 |
| Whiting Petroleum Corp | Shale energy Criteria Breached | UNITED STATES | 2021 Q4 |
| WINTIME ENERGY CO LTD-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Wuhan Guide Infrared Co Ltd | Small Arms Criteria Breached | CHINA | 2023 Q1 |
| Wuliangye Yibin Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| WW Grainger Inc | Small Arms Criteria Breached | UNITED STATES | 2022 Q1 |
| Xcel Energy Inc. | Thermal Coal Criteria Breached | UNITED STATES | 2021 Q1 |
| Xinjiang Zhongtai Chemical Co Ltd | Global compact criteria breached | CHINA | 2022 Q4 |
| XXL ASA | Small Arms Criteria Breached | NORWAY | 2018 Q4 |
| Yanbu National Petrochemical Co | Country criteria breached | SAUDI ARABIA | 2023 Q3 |
| YANCOAL AUSTRALIA LTD | Thermal Coal Criteria Breached | AUSTRALIA | 2018 Q4 |
| YANCOAL INTERNATIONAL RESOURCES DEVELOPMENT CO., LTD. | Thermal Coal Criteria Breached | HONG KONG | 2019 Q2 |
| Yankuang Energy Group Co., Ltd. (YANZHOU COAL MINING CO LTD) | Thermal Coal Criteria Breached & Country criteria breached | CHINA | 2019 Q2 |
| Yixin Group Ltd | Global compact criteria breached | CHINA | 2022 Q4 |
| ZESPOL ELEKTROWNI PATNOW ADA | Thermal Coal Criteria Breached | POLAND | 2018 Q4 |
| Zhangzhou Pientzehuang Pharmaceutical Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Zhejiang China Commodities City Group Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Zhejiang Expressway Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| Zhejiang Juhua Co Ltd | Country criteria breached | CHINA | 2023 Q3 |
| ZHEJIANG ZHENENG ELECTRIC-A | Thermal Coal Criteria Breached | CHINA | 2018 Q4 |
| Zheshang Securities Co., Ltd. | Country Criteria Breached | CHINA | 2024 Q2 |
| Zhongtai Securities Co Ltd | Country criteria breached | CHINA | 2023 Q3 |

Source: Sustainalytics

Government bonds

Afghanistan
 Åland Islands
 Algeria
 American Samoa
 Andorra
 Angola
 Anguilla
 Antarctica
 Antigua and Barbuda
 Aruba
 Azerbaijan
 Bahamas (the)
 Bahrain
 Bangladesh
 Barbados
 Belarus
 Belize
 Benin
 Bermuda
 Bhutan
 Bolivia (Plurinational State of)
 Bonaire, Sint Eustatius and Saba
 Botswana
 Bouvet Island
 British Indian Ocean Territory (the)
 Brunei Darussalam
 Burkina Faso
 Burundi
 Cambodia
 Cameroon
 Cayman Islands (the)
 Central African Republic (the)

Reason for Exclusion

Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
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 Country criteria breached (classification is below minimum threshold)
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 Country criteria breached (classification is below minimum threshold)
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 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (no classification)

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|---|---|
| Chad | Country criteria breached (classification is below minimum threshold) |
| China | Country criteria breached (classification is below minimum threshold) |
| Christmas Island | Country criteria breached (no classification) |
| Cocos (Keeling) Islands (the) | Country criteria breached (no classification) |
| Comoros (the) | Country criteria breached (no classification) |
| Congo (the Democratic Republic of the) | Country criteria breached (classification is below minimum threshold) |
| Congo (the) | Country criteria breached (classification is below minimum threshold) |
| Cook Islands (the) | Country criteria breached (no classification) |
| Côte d'Ivoire | Country criteria breached (classification is below minimum threshold) |
| Cuba | Country criteria breached (classification is below minimum threshold) |
| Curaçao | Country criteria breached (no classification) |
| Djibouti | Country criteria breached (classification is below minimum threshold) |
| Dominica | Country criteria breached (no classification) |
| Ecuador | Country criteria breached (classification is below minimum threshold) |
| Egypt | Country criteria breached (classification is below minimum threshold) |
| Equatorial Guinea | Country criteria breached (no classification) |
| Eritrea | Country criteria breached (no classification) |
| Eswatini | Country criteria breached (classification is below minimum threshold) |
| Ethiopia | Country criteria breached (classification is below minimum threshold) |
| Falkland Islands (the) [Malvinas] | Country criteria breached (no classification) |
| Faroe Islands (the) | Country criteria breached (no classification) |
| Fiji | Country criteria breached (no classification) |
| French Guiana | Country criteria breached (no classification) |
| French Polynesia | Country criteria breached (no classification) |
| French Southern Territories (the) | Country criteria breached (no classification) |
| Gabon | Country criteria breached (classification is below minimum threshold) |
| Gambia (the) | Country criteria breached (classification is below minimum threshold) |
| Ghana | Country criteria breached (classification is below minimum threshold) |
| Gibraltar | Country criteria breached (no classification) |
| Greenland | Country criteria breached (no classification) |
| Grenada | Country criteria breached (no classification) |
| Guadeloupe | Country criteria breached (no classification) |
| Guam | Country criteria breached (no classification) |
| Guernsey | Country criteria breached (no classification) |
| Guinea | Country criteria breached (classification is below minimum threshold) |
| Guinea-Bissau | Country criteria breached (no classification) |
| Haiti | Country criteria breached (classification is below minimum threshold) |
| Heard Island and McDonald Islands | Country criteria breached (no classification) |
| Holy See (the) | Country criteria breached (no classification) |
| Hong Kong | Country criteria breached (no classification) |
| India | Country criteria breached (classification is below minimum threshold) |
| Indonesia | Country criteria breached (classification is below minimum threshold) |
| Iran (Islamic Republic of) | Country criteria breached (classification is below minimum threshold) |
| Iraq | Country criteria breached (classification is below minimum threshold) |
| Isle of Man | Country criteria breached (no classification) |
| Jersey | Country criteria breached (no classification) |
| Jordan | Country criteria breached (classification is below minimum threshold) |
| Kazakhstan | Country criteria breached (classification is below minimum threshold) |
| Kiribati | Country criteria breached (no classification) |
| Korea (the Democratic People's Republic of) | Country criteria breached (no classification) |
| Kuwait | Country criteria breached (classification is below minimum threshold) |
| Kyrgyzstan | Country criteria breached (classification is below minimum threshold) |
| Lao People's Democratic Republic (the) | Country criteria breached (classification is below minimum threshold) |
| Lebanon | Country criteria breached (classification is below minimum threshold) |
| Lesotho | Country criteria breached (classification is below minimum threshold) |
| Liberia | Country criteria breached (classification is below minimum threshold) |
| Libya | Country criteria breached (no classification) |
| Liechtenstein | Country criteria breached (no classification) |
| Macao | Country criteria breached (no classification) |
| Madagascar | Country criteria breached (classification is below minimum threshold) |
| Malaysia | Country criteria breached (classification is below minimum threshold) |
| Maldives | Country criteria breached (no classification) |
| Mali | Country criteria breached (classification is below minimum threshold) |
| Marshall Islands (the) | Country criteria breached (no classification) |
| Martinique | Country criteria breached (no classification) |
| Mauritania | Country criteria breached (classification is below minimum threshold) |
| Mayotte | Country criteria breached (no classification) |
| Micronesia (Federated States of) | Country criteria breached (no classification) |
| Monaco | Country criteria breached (no classification) |
| Mongolia | Country criteria breached (classification is below minimum threshold) |
| Montserrat | Country criteria breached (no classification) |
| Morocco | Country criteria breached (classification is below minimum threshold) |
| Mozambique | Country criteria breached (classification is below minimum threshold) |
| Myanmar | Country criteria breached (classification is below minimum threshold) |

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| Nauru | Country criteria breached (no classification) |
| Nepal | Country criteria breached (classification is below minimum threshold) |
| New Caledonia | Country criteria breached (no classification) |
| Nicaragua | Country criteria breached (classification is below minimum threshold) |
| Niger (the) | Country criteria breached (classification is below minimum threshold) |
| Nigeria | Country criteria breached (classification is below minimum threshold) |
| Niue | Country criteria breached (no classification) |
| Norfolk Island | Country criteria breached (no classification) |
| Northern Mariana Islands (the) | Country criteria breached (no classification) |
| Oman | Country criteria breached (classification is below minimum threshold) |
| Pakistan | Country criteria breached (classification is below minimum threshold) |
| Palau | Country criteria breached (no classification) |
| Palestine, State of | Country criteria breached (no classification) |
| Papua New Guinea | Country criteria breached (no classification) |
| Peru | Country criteria breached (classification is below minimum threshold) |
| Philippines (the) | Country criteria breached (classification is below minimum threshold) |
| Pitcairn | Country criteria breached (no classification) |
| Puerto Rico | Country criteria breached (no classification) |
| Qatar | Country criteria breached (classification is below minimum threshold) |
| Réunion | Country criteria breached (no classification) |
| Russian Federation (the) | Country criteria breached (classification is below minimum threshold) |
| Rwanda | Country criteria breached (classification is below minimum threshold) |
| Saint Barthélemy | Country criteria breached (no classification) |
| Saint Helena, Ascension and Tristan da Cunha | Country criteria breached (no classification) |
| Saint Kitts and Nevis | Country criteria breached (no classification) |
| Saint Lucia | Country criteria breached (no classification) |
| Saint Martin (French part) | Country criteria breached (no classification) |
| Saint Pierre and Miquelon | Country criteria breached (no classification) |
| Saint Vincent and the Grenadines | Country criteria breached (no classification) |
| Samoa | Country criteria breached (no classification) |
| San Marino | Country criteria breached (no classification) |
| Sao Tome and Principe | Country criteria breached (no classification) |
| Saudi Arabia | Country criteria breached (classification is below minimum threshold) |
| Seychelles | Country criteria breached (no classification) |
| Sierra Leone | Country criteria breached (classification is below minimum threshold) |
| Sint Maarten (Dutch part) | Country criteria breached (no classification) |
| Solomon Islands | Country criteria breached (no classification) |
| Somalia | Country criteria breached (no classification) |
| South Africa | Country criteria breached (classification is below minimum threshold) |
| South Georgia and the South Sandwich Islands | Country criteria breached (no classification) |
| South Sudan | Country criteria breached (no classification) |
| Sudan (the) | Country criteria breached (classification is below minimum threshold) |
| Suriname | Country criteria breached (classification is below minimum threshold) |
| Svalbard, Jan Mayen | Country criteria breached (no classification) |
| Syrian Arab Republic (the) | Country criteria breached (no classification) |
| Tajikistan | Country criteria breached (classification is below minimum threshold) |
| Tanzania, the United Republic of | Country criteria breached (classification is below minimum threshold) |
| Timor-Leste | Country criteria breached (no classification) |
| Togo | Country criteria breached (classification is below minimum threshold) |
| Tokelau | Country criteria breached (no classification) |
| Tonga | Country criteria breached (no classification) |
| Trinidad and Tobago | Country criteria breached (classification is below minimum threshold) |
| Türkiye | Country criteria breached (classification is below minimum threshold) |
| Turkmenistan | Country criteria breached (no classification) |
| Turks and Caicos Islands (the) | Country criteria breached (no classification) |
| Tuvalu | Country criteria breached (no classification) |
| Uganda | Country criteria breached (classification is below minimum threshold) |
| United Arab Emirates (the) | Country criteria breached (classification is below minimum threshold) |
| United States Minor Outlying Islands (the) | Country criteria breached (no classification) |
| Uzbekistan | Country criteria breached (classification is below minimum threshold) |
| Vanuatu | Country criteria breached (no classification) |
| Venezuela (Bolivarian Republic of) | Country criteria breached (classification is below minimum threshold) |
| Viet Nam | Country criteria breached (classification is below minimum threshold) |
| Virgin Islands (British) | Country criteria breached (no classification) |
| Virgin Islands (U.S.) | Country criteria breached (no classification) |
| Wallis and Futuna | Country criteria breached (no classification) |
| Western Sahara | Country criteria breached (no classification) |
| Yemen | Country criteria breached (classification is below minimum threshold) |
| Zambia | Country criteria breached (classification is below minimum threshold) |
| Zimbabwe | Country criteria breached (classification is below minimum threshold) |